

VMWARE, INC.

Form 4

April 25, 2011

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
POWELL DENNIS D

(Last) (First) (Middle)

3401 HILLVIEW AVENUE

(Street)

PALO ALTO, CA 94304

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
VMWARE, INC. [VMW]

3. Date of Earliest Transaction
(Month/Day/Year)
04/21/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Class A Common Stock	04/21/2011		M		6,000	A	\$ 66.32	0	D
Class A Common Stock	04/21/2011		M		12,000	A	\$ 56.67	0	D
Class A Common Stock	04/21/2011		M		6,000	A	\$ 29.95	0	D
Class A Common Stock	04/21/2011		M		4,500	A	\$ 60.34	0	D

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Class A								
Common	04/21/2011		S	30,500	D	\$ 97.3	6,000	D
Stock						<u>(1)</u> <u>(2)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (right-to-buy)	\$ 66.32	04/21/2011		M	6,000	<u>(3)</u> 05/14/2015	Class A Common Stock 6,000
Stock Option (right-to-buy)	\$ 56.67	04/21/2011		M	12,000	<u>(4)</u> 01/31/2015	Class A Common Stock 12,000
Stock Option (right-to-buy)	\$ 29.95	04/21/2011		M	6,000	<u>(5)</u> 05/27/2016	Class A Common Stock 6,000
Stock Option (right-to-buy)	\$ 60.34	04/21/2011		M	4,500	<u>(6)</u> 05/21/2017	Class A Common Stock 4,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POWELL DENNIS D 3401 HILLVIEW AVENUE PALO ALTO, CA 94304		X		

Signatures

/s/ Larry Wainblat,
attorney-in-fact

04/25/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Price represents weighted average for sales reported. The range of prices for the sales reported is \$97.14 through \$97.50.
- (2) The reporting person will provide upon request by the Securities and Exchange Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The option, representing a right to purchase a total of 6,000 shares of Class A Common stock, became exercisable with respect to 1,500 shares on each of August 14, 2008, November 14, 2008, February 14, 2009 and May 14, 2009.
- (4) The option, representing a right to purchase a total of 12,000 shares of Class A Common stock, became exercisable with respect to 6,000 shares on each of November 8, 2008 and November 8, 2009.
- (5) The option, representing a right to purchase a total of 6,000 shares of Class A Common stock, became exercisable with respect to 1,500 shares on each of August 27, 2009, November 27, 2009, February 27, 2010 and May 27, 2010.
- (6) The option, representing a right to purchase a total of 6,000 shares of Class A Common stock, became exercisable with respect to 1,500 shares on each of August 21, 2010, November 21, 2010 and February 21, 2011 and vests with respect to the remaining 1,500 shares on May 21, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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