Edgar Filing: COMPLETE GENOMICS INC - Form 4

COMPLETE GENOMICS INC

Form 4

November 16, 2010

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Check this box

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

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if no longer subject to Section 16. Form 4 or Form 5

obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, may continue.

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * **Enterprise Partners Management**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

LLC

COMPLETE GENOMICS INC [GNOM]

(Check all applicable)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director X 10% Owner Other (specify Officer (give title below)

C/O ENTERPRISE 11/16/2010

PARTNERS, 2223 AVENIDA DE LA PLAYA, SUITE 300

(Street)

(State)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Zip)

X Form filed by One Reporting Person Form filed by More than One Reporting

LA JOLLA, CA 92037-3218

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of Form: Direct Indirect Securities Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) Code V Amount (D)

66,138

27.049 A

Transaction(s) (Instr. 3 and 4)

Common

Stock, par 11/16/2010 value

Price

Α

D

\$0.001

Common Stock, par 11/16/2010

 \mathbf{C}

 \mathbf{C}

66,138

<u>(1)</u>

(1)

101,043 D

value \$0.001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

8. P Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series D Preferred Stock (1)	(1)	11/16/2010		C	66,138	(2)	(3)	Common Stock	66,138	
Series E Preferred Stock (1)	(1)	11/16/2010		C	27,049	(2)	(3)	Common Stock	27,049	

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Reporting Owners

Reporting Owner Name / Address	Keiationsnips				
. 0	Director	10% Owner	Officer	Other	
Enterprise Partners Management LLC					
C/O ENTERPRISE PARTNERS		X			
2223 AVENIDA DE LA PLAYA, SUITE 300		Λ			
LA IOLLA CA 92037-3218					

Signatures

/s/ Andrew E. Senyei as sole Director of Andrew E. Senyei, Inc., Manager of Enterprise Partners Management, LLC

11/16/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series D and Series E Preferred Stock was automatically converted into one share of Common Stock immediately prior to the completion of the Issuer's initial public offering.
- (2) The securities are immediately convertible.
- (3) The expiration date is not relevant to the conversion of these securities.

Reporting Owners 2

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