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BRUGGEWORTH ROBERT A

Form 4

November 02, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box

SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **BRUGGEWORTH ROBERT A**

2. Issuer Name and Ticker or Trading

Symbol

LIGHTPATH TECHNOLOGIES

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 11/01/2007

INC [LPTH]

X_ Director 10% Owner Officer (give title Other (specify

2603 CHALLENGER TECH CT, SUITE 100

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

ORLANDO, FL 32826

(City)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

(State)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(9-02)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

2,250

D

Class A Common

Class A

Common

125

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Non-qualified stock option	\$ 2.41					11/10/2006	11/10/2015	Class A Common	2,033
Non-qualified stock option	\$ 2.41					11/10/2007	11/10/2015	Class A Common	2,033
Non-qualified stock option	\$ 2.41					11/10/2008	11/10/2015	Class A Common	2,034
Restricted stock unit	\$ 0 (1)					11/10/2006(2)	11/10/2015	Class A Common	3,333
Restricted stock unit	\$ 0 (1)					11/10/2007(2)	11/10/2015	Class A Common	3,333
Restricted stock unit	\$ 0 (1)					11/10/2008(2)	11/10/2015	Class A Common	3,334
Restricted stock unit (3)	\$ 0 (1)					10/20/2004(2)	10/20/2014	Class A Common	6,000
Restricted stock unit	\$ 0 (1)					10/20/2005(2)	10/20/2014	Class A Common	2,850
Restricted stock unit	\$ 0 (1)					10/20/2006(2)	10/20/2014	Class A Common	2,850
Restricted stock unit	\$ 0 (1)					10/27/2007(2)	10/27/2016	Class A Common	3,333
Restricted stock unit	\$ 0 (1)					10/27/2008(2)	10/27/2016	Class A Common	3,333
Restricted stock unit	\$ 0 (1)					10/27/2009(2)	10/27/2016	Class A Common	3,334

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRUGGEWORTH ROBERT A 2603 CHALLENGER TECH CT SUITE 100 ORLANDO, FL 32826



Signatures

/s/ Robert A.
Bruggeworth
11/02/2007

**Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert at a 1-to-1 ratio to Class A Common
- (2) The Reporting person shall be eligible to electively receive one share of Class A Common for each restricted stock unit at this date subject to continued employment
- (3) Granted as vested in lieu of grant in prior year

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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