#### Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

#### INTERNATIONAL BUSINESS MACHINES CORP

Form 4 July 02, 2007

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

CH-8050 ZURICH **SWITZERLAND** 

(City)

1. Title of

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**DORMANN JUERGEN** 

2. Issuer Name and Ticker or Trading

Symbol

INTERNATIONAL BUSINESS

MACHINES CORP [IBM] 3. Date of Earliest Transaction

(Month/Day/Year) 06/30/2007

ABB LTD, AFFOLTERNSTRASSE 44

(First)

(Middle)

(Zip)

2. Transaction Date 2A. Deemed

(Street)

(State)

(Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities

Execution Date, if Code Disposed of (D) (Month/Day/Year) (Instr. 8)

TransactionAcquired (A) or (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

or

Securities Beneficially Owned Following Reported

Transaction(s)

(Instr. 3 and 4)

5. Amount of

Issuer

below)

X\_ Director

Applicable Line)

Officer (give title

(I) (Instr. 4)

6. Ownership 7. Nature of Form: Direct

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Check all applicable)

10% Owner

Other (specify

Estimated average

burden hours per

Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title	of 2.	3. Transaction Date	3A. Deemed	4.	5. Numl	per 6. Date E	xercisable and	7. Title and A	Amount of	8. Price
Derivati	ive Conversio	n (Month/Day/Year)	Execution Date, if	Transacti	onof	Expiration Date Underlying Sec		Securities	Derivati	
Security	or Exercis	e	any	Code	Derivati	ive (Month/D	ay/Year)	(Instr. 3 and	4)	Security
(Instr. 3)	) Price of		(Month/Day/Year)	(Instr. 8)	Securiti	es				(Instr. 5
	Derivative	;			Acquire	d				
	Security				(A) or					
					Dispose	d				
					of (D)					
					(Instr. 3	, 4,				
					and 5)					
				Code V	(A) (	(D) Date	Expiration	Title	Amount	
						Exercisab	le Date		or	
									Number	
									of	
									Shares	
	_							_		
Promis	<b>% ()</b> (1)	06/30/2007		$A^{(2)}$	475	(3)	(3)	Common	475	\$ 105.
Fee Sh	nare					_		Stock		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
DORMANN JUERGEN ABB LTD AFFOLTERNSTRASSE 44 CH-8050 ZURICH SWITZERLAND	X						

# **Signatures**

C. B. Gregory for J. Dormann by power-of-attorney

07/02/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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