Edgar Filing: Carmichael Beverly K - Form 4

| Carmichael H Form 4 | Beverly K | | | | | | | | | | |
|---|------------|---|---|---|--|--|----------------------|--|--|----------|--|
| January 08, 2 | 2019 | | | | | | | | | | |
| • | | | | | | | | OMB A | PPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-0287 | | |
| Check this box | | | | , | | | | | Expires: January | | |
| if no longer subject to Section 16. Form 4 or | | | | IGES IN BENEFICIAL OWNERSHII SECURITIES | | | | NERSHIP OF | Estimated average burden hours per | | |
| Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | 0.5 | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| Carmichael Beverly K Symbol RED R | | | | uer Name and Ticker or Trading ol ROBIN GOURMET GERS INC [RRGB] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | | | | | | | | |
| | | | endment, Date Original nth/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| GREENWOOD | | | | | | | | | | | |
| VILLAGE, | | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | | ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securi on(A) or Di (Instr. 3, | spose 4 and (A) or | d of (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 01/05/2019 | | | Code V F | Amount 268 (1) | | Price \$ 28.86 | 5,077 <u>(2)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Under Secur | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|------------------------|---|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Edgar Filing: Carmichael Beverly K - Form 4

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|----------------------------|-------|--|--|--|
| reporting of the random states | Director | 10% Owner | Officer | Other | | | |
| Carmichael Beverly K 6312 S. FIDDLER'S GREEN CIR. SUITE 200N GREENWOOD VILLAGE, CO 80111 | | | Chief People Officer | | | | |
| Signatures | | | | | | | |
| /s/ Michael L. Kaplan, Attorney-in-Fact | 01/08/20 | 019 | | | | | |

Attorney-in-Fact

Date

Explanation of Responses:

**Signature of Reporting Person

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In connection with the vesting of 925 time-based restricted stock units which were granted on January 5, 2018, under the issuer's 2017 Performance Incentive Plan, the reporting person sold 268 of such shares to the issuer to satisfy tax withholding obligations. The sale was

- (1) approved by the issuer's Compensation Committee in accordance with Rule 16b-3(d)(1) of the Exchange Act (the "Act"), and as such, the sale is exempt from Section 16(b) of the Act pursuant to Rule 16b-3(e) promulgated thereunder.
- (2) Includes 4,420 shares subject to vesting and forfeiture restrictions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.