

WHITE MILES D
Form 4
November 30, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
WHITE MILES D

2. Issuer Name **and** Ticker or Trading
Symbol
ABBOTT LABORATORIES [ABT]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

100 ABBOTT PARK ROAD

3. Date of Earliest Transaction
(Month/Day/Year)
11/28/2018

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

ABBOTT PARK, IL 60064-6400

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common shares without par value	11/28/2018		M	325,000	A \$ 26.015	3,459,185	D
Common shares without par value	11/28/2018		S	800	D \$ 72.27	3,458,385	D
Common shares without par value	11/28/2018		S	1,400	D \$ 72.275	3,456,985	D

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Common shares without par value	11/28/2018	S	2,771	D	\$ 72.28	3,454,214	D
Common shares without par value	11/28/2018	S	4,870	D	\$ 72.285	3,449,344	D
Common shares without par value	11/28/2018	S	231	D	\$ 72.2875	3,449,113	D
Common shares without par value	11/28/2018	S	2,102	D	\$ 72.29	3,447,011	D
Common shares without par value	11/28/2018	S	1,327	D	\$ 72.295	3,445,684	D
Common shares without par value	11/28/2018	S	1,381	D	\$ 72.3	3,444,303	D
Common shares without par value	11/28/2018	S	10,757	D	\$ 72.305	3,433,546	D
Common shares without par value	11/28/2018	S	4,385	D	\$ 72.31	3,429,161	D
Common shares without par value	11/28/2018	S	2,300	D	\$ 72.315	3,426,861	D
Common shares without par value	11/28/2018	S	5,100	D	\$ 72.32	3,421,761	D
Common shares without par value	11/28/2018	S	6,945	D	\$ 72.325	3,414,816	D
	11/28/2018	S	1,595	D	\$ 72.33	3,413,221	D

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Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

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Common
shares
without
par value

Common
shares
without
par value

11/28/2018

S

3,460

D

\$ 72.335

3,409,761

D

11/28/2018

S

6,825

D

\$ 72.34

3,402,936

D

11/28/2018

S

14,290

D

\$ 72.345

3,388,646

D

11/28/2018

S

4,250

D

\$ 72.35

3,384,396

D

11/28/2018

S

7,181

D

\$ 72.355

3,377,215

D

11/28/2018

S

3,800

D

\$ 72.36

3,373,415

D

11/28/2018

S

3,008

D

\$ 72.365

3,370,407

D

11/28/2018

S

1,916

D

\$ 72.37

3,368,491

D

11/28/2018

S

1,761

D

\$ 72.375

3,366,730

D

11/28/2018

S

5,200

D

\$ 72.38

3,361,530

D

11/28/2018

S

5,462

D

\$ 72.385

3,356,068

D

Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

11/28/2018

S

3,100

D

\$ 72.39

3,352,968

D

11/28/2018

S

10,022

D

\$ 72.395

3,342,946

D

11/28/2018

S

13,564

D

\$ 72.4

3,329,382

D

11/28/2018

S

12,538

D

\$ 72.405

3,316,844

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Option (right to buy) ⁽¹⁾	\$ 26.015	11/28/2018		M		325,000		02/20/2012	02/19/2019	Common shares	325,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Director	10% Owner	Officer		Other
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X

ABBOTT PARK, IL 60064-6400

11/30/2018

Date _____

(1) Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

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