

ABIOMED INC
Form 4
May 28, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Greenfield Andrew J

(Last) (First) (Middle)

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ABIOMED INC [ABMD]

3. Date of Earliest Transaction (Month/Day/Year)
05/23/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

VP Healthcare Solutions

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock, \$.01 par	05/23/2014		S		3,083 (4) \$ 20.9632 (5) 57,990	D	
Common Stock, \$.01 par value	05/27/2014		S		3,943 (4) \$ 21.2065 (7) 54,047	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy) ⁽¹⁾	\$ 8.77					Date Exercisable: 11/16/2006 ⁽²⁾ Expiration Date: 11/16/2015	Common Stock	15,000
Stock Option (right to buy) ⁽¹⁾	\$ 13.57					Date Exercisable: 06/01/2007 ⁽²⁾ Expiration Date: 06/01/2016	Common Stock	40,000
Stock Option (right to buy) ⁽¹⁾	\$ 11.27					Date Exercisable: 05/30/2008 ⁽²⁾ Expiration Date: 05/30/2017	Common Stock	10,000
Stock Option (right to buy) ⁽¹⁾	\$ 13.8					Date Exercisable: 05/23/2009 ⁽²⁾ Expiration Date: 05/23/2018	Common Stock	9,000
Stock Option (right to buy) ⁽³⁾	\$ 10.03					Date Exercisable: 06/03/2011 ⁽²⁾ Expiration Date: 06/03/2020	Common Stock	25,000
Stock Option (right to buy) ⁽³⁾	\$ 22.44					Date Exercisable: 05/22/2013 ⁽²⁾ Expiration Date: 05/22/2022	Common Stock	20,000
Stock Option (right to buy) ⁽³⁾	\$ 14.39					Date Exercisable: 02/19/2014 ⁽²⁾ Expiration Date: 02/19/2023	Common Stock	20,000

Stock Option (Right to Buy) ⁽³⁾	\$ 23.15	05/14/2014 ⁽²⁾	05/14/2023	Common Stock	20,000
Stock Option (right to buy) ⁽³⁾	\$ 21.55	05/14/2015 ⁽⁶⁾	05/14/2024	Common Stock	15,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Greenfield Andrew J C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923			VP Healthcare Solutions	

Signatures

/s/ Stephen C. McEvoy (by power of attorney) 05/28/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options became exercisable in annual 25% increments commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) Sale of common stock pursuant to reporting owner's 10b5-1 plan sold to pay tax obligations upon vesting.

This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$20.8600 and \$21.4450. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

- (6) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.

This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$21.0000 and \$21.5700. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.