### Edgar Filing: ABBOTT LABORATORIES - Form 3

#### **ABBOTT LABORATORIES**

Form 3 July 02, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ABBOTT LABORATORIES [ABT] A Pavee Jean-Yves (Month/Day/Year) 07/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 100 ABBOTT PARK ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) ABBOTT PARK. ILÂ 60064 Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common shares without par value 30,901 Common shares without par value 1,747 (1) I **Profit Sharing Trust** Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option (right to buy) (2)	02/16/2008	02/15/2017	Common shares	1,734	\$ 25.25	D	Â
Option (right to buy) (2)	02/16/2009	02/15/2017	Common shares	1,733	\$ 25.25	D	Â
Option (right to buy) (2)	02/16/2010	02/15/2017	Common shares	1,733	\$ 25.25	D	Â
Option (right to buy) (2)	02/15/2009	02/14/2018	Common shares	1,767	\$ 26.7	D	Â
Option (right to buy) (2)	02/15/2010	02/14/2018	Common shares	1,767	\$ 26.7	D	Â
Option (right to buy) (2)	02/15/2011	02/14/2018	Common shares	1,766	\$ 26.7	D	Â
Option (right to buy) (3)	10/29/2011	02/19/2014	Common shares	3,903	\$ 25.07	D	Â
Option (right to buy) (3)	10/29/2011	02/19/2014	Common shares	1,952	\$ 25.07	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>rs</b>	Director	10% Owner	Officer	Othe	
Pavee Jean-Yves 100 ABBOTT PARK ROAD ABBOTT PARK. IL 60064	Â	Â	Senior Vice President	Â	

## **Signatures**

John A. Berry, by power of attorney for Jean-Yves
Pavee 07/02/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares in Abbott Laboratories Stock Retirement Trust as of June 26, 2013.
- (2) Employee stock option granted pursuant to the Abbott Laboratories 1996 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.
- (3) Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, including a replacement option feature, in a transaction exempt from Section 16 under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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