Kesner Harvey J

Form 3

| November 19   |                  |                             |   |                         |   |                 |               |   |                     |  |  |
|---|------------------|-----------------------------|---|-------------------------|---|-----------------|---------------|---|---------------------|--|--|
| FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |                  |                             |   |                         |   | OMB APPROVAL    |               |   |                     |  |  |
|   |                  |                             | vv asii   | ington, D               |   |                 |               | OMB<br>Number:  | 3235-0104           |  |  |
|   | I                | NITIAL S                    | TATEMENT  |                         |   | OWNERSH         | IP OF         | Expires:  | January 31,<br>2005 |  |  |
|   |                  |                             | S   | SECURI                  | <b>FIES</b>   |                 |               | Estimated a   |                     |  |  |
|   |                  | on 17(a) of                 | to Section 16(<br>the Public Util<br>0(h) of the Inve                         | ity Holdir              | ng Company  | Act of 193      |               | burden hou<br>response<br>n                             |                     |  |  |
| (Print or Type R  | esponses)        |                             |   |                         |   |                 |               |   |                     |  |  |
| Person <u>Statem</u>  |                  |                             | Statement<br>(Month/Day/Yea   | tement                  | 3. Issuer Name and Ticker or Trading Symbol SPHERIX INC [SPEX]    |                 |               |   |                     |  |  |
| (Last)  | (First)          | (Middle)                    | 11/16/2012  |                         | 4. Relationship of Reporting                                      |                 | 5. If         | 5. If Amendment, Date Original                          |                     |  |  |
| SPHERIX   |                  |                             |   |                         | Person(s) to Is   | ssuer           | Filed         | d(Month/Day/Year)                                       |                     |  |  |
| INCORPOR  | ATED, 6          | 6430                        |   |                         | (Check  | all applicable) | 1             |   |                     |  |  |
| ROCKLEDO  | GE DR #50        | 03                          |   |                         | X Director  | · 10%           | Owner         |   |                     |  |  |
| (Street)  |                  |                             |   |                         | Officer Other 6. Inc.   (give title below) (specify below) Filing |                 |               | lividual or Join<br>g(Check Applica<br>Form filed by On | ble Line)           |  |  |
| BETHESDA  | , MD 2           | 20817                       |   |                         |   |                 | Perso<br>F    |   |                     |  |  |
| (City)  | (State)          | (Zip)                       | T   | able I - N              | lon-Derivat   | ive Securiti    | es Benefic    | ially Owned   | l                   |  |  |
| 1.Title of Security2. Amount of<br>Beneficially<br>(Instr. 4)(Instr. 4)(Instr. 4) |                  |                             |   | ĩcial                   |   |                 |               |   |                     |  |  |
| Common Sto  | ock              |                             | 9   | ,391                    |   | Ι               | By Parado     | ox Capital Pa   | artners LLC         |  |  |
| Reminder: Repo<br>owned directly o  |                  | ate line for ea             | ch class of securit   | ies benefici            | ally SI   | EC 1473 (7-02   | )             |   |                     |  |  |
|   | inform<br>requir | nation conta<br>ed to respo | pond to the coll<br>ained in this for<br>and unless the for<br>MB control num | m are not<br>orm displa | ays a   |                 |               |   |                     |  |  |
| T   | able II - Der    | ivative Secu                | rities Beneficially   | Owned (e.               | g., puts, calls,  | warrants, opt   | tions, conver | tible securities  | 3)                  |  |  |

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4.          | 5.         | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4)                      | Expiration Date         | Securities Underlying  | Conversion  | Ownership  | Beneficial            |
|                                 | (Month/Day/Year)        | Derivative Security    | or Exercise | Form of    | Ownership             |
|                                 |                         | (Instr. 4)             | Price of    | Derivative | (Instr. 5)            |
|                                 |                         |                        | Derivative  | Security:  |                       |

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|                      | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |                                       |
|----------------------|---------------------|--------------------|----------------------------|----------------------------------|----------|--|---------------------------------------|
| Common Stock Options | 11/16/2012          | 11/15/2012         | Common<br>Stock<br>Options | 1,013                            | \$ 9.87  | D  | Â                                     |
| Series B Warrants    | (1)                 | ( <u>1)</u>        | Series B<br>Warrants       | 9,391                            | \$ 6.53  | Ι  | By Paradox<br>Capital Partners<br>LLC |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |
| Kesner Harvey J<br>SPHERIX INCORPORATED<br>6430 ROCKLEDGE DR #503<br>BETHESDA, MD 20817 | ÂX            | Â         | Â       | Â     |  |  |

## Signatures

| Harvey J.<br>Kesner                | 11/16/2012 |
|------------------------------------|------------|
| **Signature of<br>Reporting Person | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Series B Warrants are exercisable any time on or after the date on which Shareholder Approval has been obtained and on or prior to the close of business on the 5 year anniversary of the Closing Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.