Edgar Filing: AMERIPRISE FINANCIAL INC - Form 4

AMERIPRISE FINANCIAL INC

Form 4

February 22, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

OMB APPROVAL

Number:

3235-0287

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obligations

Check this box

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

LEWIS W WALKER

(First)

Symbol

AMERIPRISE FINANCIAL INC

[AMP]

02/17/2006

(Check all applicable)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X_ Director 10% Owner Officer (give title Other (specify below)

GENERAL COUNSEL'S OFFICE, 50591 AMERIPRISE

FINANCIAL CENTER

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Street)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

MINNEAPOLIS, MN 55474

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of		2.	3. Transaction Date	3A. Deemed	4.		5. Number		6. Date Exercisable and		7. Title and Amount of		8. Pric
Deriv	ative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction Derivative		ative	Expiration Date		Underlying Securities		Deriva	
Secur	ity	or Exercise		any	Code Securities		(Month/Day/Year)		(Instr. 3 and 4)		Securi		
(Instr	. 3)	Price of		(Month/Day/Year)	(Instr.	Instr. 8) Acquired						(Instr.	
		Derivative					(A) or						
		Security			Disposed of			d of					
					(D)								
						(Instr. 3, 4,							
							and 5)						
					Code	v	(A)	(D)	Date	Expiration	Title	Amount	
					Code	V	(A)	(D)	Exercisable	Date	Title		
									Exercisable	Date		or Namel	
												Number	
												of	
												Shares	
Phar	ntom								(2)	(2)	Common		
Stoc		<u>(1)</u>	02/17/2006		Α		5.576		(2)	(2)	Stock	5.576	\$ 43.
Side	V										Stock		

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

LEWIS W WALKER
GENERAL COUNSEL'S OFFICE
50591 AMERIPRISE FINANCIAL CENTER
MINNEAPOLIS, MN 55474

Signatures

/s/ Laura M. Imholte for W. Walker

Lewis 02/21/2006

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

X

- Each share of phantom stock represents the right to receive one share of Ameriprise Financial, Inc. common stock. Deemed dividends (1) were awarded for the reported deferred share unit award granted to the reporting director under the Ameriprise Financial Deferred Share Plan. The record date for the deemed dividends was 02.02.06 and the deemed dividends were credited on 02.17.06.
- (2) Distributions of Ameriprise Financial, Inc. Deferred Stock Units are made in accordance with distribution elections made by plan participants in the manner permitted by the Ameriprise Financial Deferred Share Plan for Outside Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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