Edgar Filing: Ceres, Inc. - Form 4

| Ceres, Inc. | | | | | | | | | | | | |
|---------------------------------------|---------------------|-----------------|--|--------------|-------|------------------|--|---------------|---|---------------------------|-----------------|--|
| Form 4 | | | | | | | | | | | | |
| January 26, 2 | 2015 | | | | | | | | | | | |
| FORM | 14 | | | | | | | | | OMB AP | PROVAL | |
| | UNITEL |) STATES | | | | ND EX D.C. 20 | | NGE CO | OMMISSION | OMB Number: | 3235-0287 | |
| Check th | | | | | | | | | | Expires: | January 31, | |
| if no long subject to | | MENT O | F CHAN | | | | ICIA | L OWN | ERSHIP OF | Estimated a | 2005 average | |
| Section 1 | 16. | | | SEC | UR | ITIES | | | burden hours per | | | |
| Form 4 o Form 5 | | | · · · · · | () | 6.4 | с · | · - | . 1 | A (C1024 | response | 0.5 | |
| obligatio | nc - | | | | | | | - | Act of 1934, | | | |
| may cont | tinue. Section 17 | | of the In | • | | • | · · | • | 1935 or Section | l | | |
| <i>See</i> Instruction 1(b). | uction | 50(II) | of the m | vestin | iciti | compan | ly At | 101174 |) | | | |
| (Print or Type I | Responses) | | | | | | | | | | | |
| 1. Name and A Kuc Paul | 2. Issuer Symbol | r Name | and | Ticker or | Tradi | 0 | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| U U | | | | nc. [C | FRI | FI | | | | | | |
| | | (AC 111) | | _ | | - | | | (Check | all applicable |) | |
| (Last) | (First) | (Middle) | 3. Date of (Month/E | | | ansaction | | | Director | 10% | Owner | |
| C/O CERES, INC.,, 1535 RANCHO 01/22/2 | | | | • | u) | | | | Officer (give title Other (specify | | | |
| CONEJO B | | | | | | | | | below) Chief F | below) inancial Office | r | |
| | (Street) | | 4 If Ama | n dan an | + Da | ta Omiaina | 1 | | | | | |
| | (Sileet) | | 4. If Ame Filed(Mor | | | te Origina | 1 | | 6. Individual or Joi Applicable Line) | invoroup rinn | g(Check | |
| | | | 1 nea(mo | itti/Day/ | i cai | , | | | _X_ Form filed by O | | | |
| THOUSAN | D OAKS, CA 9 | 1320 | | | | | | | Form filed by Me Person | ore than One Rep | porting | |
| (City) | (State) | (Zip) | Tabl | e I - N | on-D | erivative | Secur | ities Acqu | ired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of | 2. Transaction Da | te 2A. Deen | ned | 3. | | 4. Securi | ties A | cquired | 5. Amount of | 6. | 7. Nature of | |
| Security | (Month/Day/Year | | n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | | | | | Securities | Ownership | Indirect | |
| (Instr. 3) | | any (Month/D | | | | | | | Beneficially Owned | Beneficial Ownership | | |
| | | (Wond) D | , uy, 1 cui) | (msu. | 0) | | | | Following | (D) or Indirect (I) | (Instr. 4) | |
| | | | | | | | (A) | | Reported | (Instr. 4) | | |
| | | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | |
| | | | | Code | V | Amount | (D) | Price | (mour. 5 and 4) | | | |
| Common | 01/22/2015 | | | I (1) | V | 1 000 | ٨ | \$ | 115 267 | D | | |
| Stock | 01/22/2015 | | | L <u>(1)</u> | v | 1,000 | А | 0.2252 (2) | 115,367 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|---|
| | | | | Code V | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | | |
|---|------------|---------------|-------------------------|---------|-------|--|--|--|--|
| Di | | | 10% Owner | Officer | Other | | | | |
| Kuc Paul C/O CERES, INC., 1535 RANCHO CON THOUSAND OAKS, | | | Chief Financial Officer | | | | | | |
| Signatures | | | | | | | | | |
| /s/ Paul Kuc | 01/23/2015 | | | | | | | | |
| <u>**</u> Signature of | Date | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Small acquisition transaction under Rule 16a-6 voluntarily elected to be reported early on Form 4.

Reflects the weighted average purchase price paid by Paul Kuc. These shares were purchased in multiple transactions ranging from \$0.2251 to \$0.2252. The reporting person undertakes to provide Ceres, Inc., any shareholder of Ceres, Inc., or the staff of the Securities

(2) and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (2).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person