Edgar Filing: Stern Matthew J - Form 4

Stern Matthe Form 4	ew J										
March 13, 2	018										
									OMB AF	PROVAL	
FORM	UNIII	ED STATES		RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check th	oer.								Expires:	January 31, 2005	
if no longer subject to Section 16. Section 16.				GES IN I SECUR		ICIA	LOW	NERSHIP OF	Estimated average burden hours per		
Form 4 c									response 0.5		
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section	17(a) of the		tility Hold	ling Con	ipany	Act of	e Act of 1934, 1935 or Section 0	n		
(Print or Type	Responses)										
			Symbol	•				5. Relationship of Reporting Person(s) to Issuer			
				Resource Capital Corp. [RSO]				(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of (Month/D	Earliest Tra	ansaction			Director	10%	Owner	
ONE CRES 203	SCENT DRIVI	E, SUITE	03/13/20	-				X Officer (give below)		er (specify	
				f Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PHILADEI	LPHIA, PA 19	112						Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any	med on Date, if Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	03/13/2018			Р	1,750	A	\$ 9.045	29,539 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Stern Matthew J ONE CRESCENT DRIVE, SUITE 203 PHILADELPHIA, PA 19112			President				
Signatures							
Shelle Weisbaum, Attorney-in-Fact	03/13/201	8					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 21,033 shares remain subject to vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.