## Edgar Filing: Hamilton Bancorp, Inc. - Form 4

Hamilton Bancorp, Inc. Form 4 November 02, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BOUFFARD JOSEPH J** Issuer Symbol Hamilton Bancorp, Inc. [HBK] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Officer (give title Other (specify **501 FAIRMONT AVENUE, SUITE** 11/01/2016 below) below) 200 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **TOWSON, MD 21286** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 11/01/2016 750<sup>(1)</sup> A \$0 A 1,417 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4. 5. Number              |                | 6. Date Exercisable and |             | 7. Title and Amount of |              | 8      |   |
|-------------|-------------|---------------------|--------------------|---------------------------|----------------|-------------------------|-------------|------------------------|--------------|--------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction of Derivative |                | Expiration Date         |             | Underlying Securities  |              | J      |   |
| Security    | or Exercise |                     | any                | Code Securities           |                | (Month/Day/Year)        |             | (Instr. 3 and 4)       |              | 5      |   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)                | r. 8) Acquired |                         |             |                        |              |        | ( |
|             | Derivative  |                     |                    |                           | (A) or         |                         |             |                        |              |        |   |
|             | Security    |                     |                    |                           | Disposed of    |                         |             |                        |              |        |   |
|             | 2           |                     |                    |                           | (D)            |                         |             |                        |              |        |   |
|             |             |                     |                    | (Instr. 3, 4,             |                |                         |             |                        |              |        |   |
|             |             |                     |                    |                           | and 5)         |                         |             |                        |              |        |   |
|             |             |                     |                    |                           |                |                         |             |                        |              |        |   |
|             |             |                     |                    |                           |                |                         |             |                        |              | Amount |   |
|             |             |                     |                    |                           |                |                         | Date        | Expiration             | <b>T</b> . 1 | or     |   |
|             |             |                     |                    |                           |                |                         | Exercisable | Date                   | Title        | Number |   |
|             |             |                     |                    | <u> </u>                  |                |                         |             |                        |              | of     |   |
|             |             |                     |                    | Code V                    | (A)            | (D)                     |             |                        |              | Shares |   |
| Stock       |             |                     |                    |                           | 9,500          |                         |             |                        | Common       | 9,500  |   |
|             | \$ 13.5     | 11/01/2016          |                    | А                         | (2)            |                         | 11/01/2017  | 11/01/2026             |              | (2)    |   |
| Options     |             |                     |                    |                           | (2)            |                         |             |                        | Stock        | (2)    |   |
|             |             |                     |                    |                           |                |                         |             |                        |              |        |   |
| _           |             |                     |                    |                           |                |                         |             |                        |              |        |   |

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## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |          |       |  |  |  |  |
|---|---------------|-----------|----------|-------|--|--|--|--|
| I O   | Director      | 10% Owner | Officer  | Other |  |  |  |  |
| BOUFFARD JOSEPH J<br>501 FAIRMONT AVENUE<br>SUITE 200<br>TOWSON, MD 21286 | Х             |           |          |       |  |  |  |  |
| Signatures  |               |           |          |       |  |  |  |  |
| /s/ Kari Hicks, pursuant to Pow<br>Attorney                               | ver of        | 1         | 1/02/201 | 6     |  |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted stock vest at a rate of 20% per year commencing on November 1, 2017.
- (2) Stock options vest at a rate of 20% per year commencing on November 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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