

HARPER JOSEPH P JR

Form 3

September 10, 2010

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

HARPER JOSEPH P JR

(Last) (First) (Middle)

20810 FERNBUSH LANE

(Street)

HOUSTON, TX 77073

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/01/2010

3. Issuer Name and Ticker or Trading Symbol

STERLING CONSTRUCTION CO INC [STRL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Exec. VP-Finance

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

214,677

D H

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (1)	08/20/2013	Common Stock	600	\$ 3.05	D	Â
Employee Stock Option (right to buy)	Â (2)	08/12/2014	Common Stock	1,400	\$ 3.1	D	Â
Employee Stock Option (right to buy)	Â (3)	09/12/2010	Common Stock	1,500	\$ 16.78	D	Â
Employee Stock Option (right to buy)	07/18/2007 07/18/2011		Common Stock	7,500	\$ 24.96	D	Â
Employee Stock Option (right to buy)	Â (4)	09/08/2011	Common Stock	1,000	\$ 25.21	D	Â
Warrant to Purchase Common Stock (right to buy) (5)	07/18/2001 07/18/2011		Common Stock	13,119	\$ 1.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARPER JOSEPH P JR 20810 FERNBUSH LANE HOUSTON, TX 77073	Â	Â	Â Exec. VP-Finance	Â

Signatures

Roger M. Barzun under a Power of Attorney 09/10/2010

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 20, 2003 grant date.

(2) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 12, 2004 grant date.

(3) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 12, 2005 grant date.

(4) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 8, 2006 grant date.

(5) These warrants were issued as partial consideration for the purchase of shares of the entity now known as Texas Sterling Construction Co.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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