#### Edgar Filing: CLEARBRIDGE AMERICAN ENERGY MLP FUND INC. - Form 4

CLEARBRIDGE AMERICAN ENERGY MLP FUND INC. Form 4 January 04, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading PRUDENTIAL FINANCIAL INC Issuer Symbol CLEARBRIDGE AMERICAN (Check all applicable) ENERGY MLP FUND INC. [CBA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_\_ 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 751 BROAD ST 12/30/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting NEWARK, NJ 07102 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 7. Nature of 3. 4. Securities Acquired (A) 5. Amount of 6. Securities Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Ownership Indirect Beneficial (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Ownership any (Month/Day/Year) (Instr. 8) Owned Direct (D) (Instr. 4) Following or Indirect Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price By The Gibraltar Life Series C Insurance Co., Mandatory \$ Ltd., a Redeemable J 185 (<u>1</u>) D 102,490 12/30/2015 0 I wholly-owned Preferred (2)subsidiary of Stock the Reporting Person Series C J 12/30/2015 55 (1) D \$ 140 (3) I By The Mandatory 102,490 Prudential (2) Redeemable Insurance Preferred Company of

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Stock

America, a wholly-owned subsidiary of the Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;		7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
PRUDENTIAL FINANCIAL INC 751 BROAD ST NEWARK, NJ 07102		Х					
PRUDENTIAL INSURANCE CO OF AMERICA 751 BROAD STREET NEWARK, NJ 07102		Х					
Signatures							
Prudential Financial, Inc., By: /s/ Richard Baker, Second Vice President							
<u>**</u> Signature of Reportin		Date					

The Prudential Insurance Company of America, By: /s/Christopher L. Halloran, Vice President

01/04/2016

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**Signature of Reporting Person	Date
The Gibraltar Life Insurance Co., Ltd., By: Prudential Investment Management Japan Co., Ltd., as Investment Manager; By:PGIM, Inc., as Sub-Advisor; By: /s/Christopher L. Halloran, Vice President	01/04/2010
<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Share transfer to Issuer on 12/30/2015.
- (2) Per Share Price includes accrued dividends.
- (3) The Prudential Insurance Company of America and Prudential Legacy Insurance Company of New Jersey, each a wholly-owned subsidiary of the Reporting Person, own 80 shares and 290 shares, respectively, of Series D Mandatory Redeemable Preferred Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.