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ATHENAHEALTH INC

Form 3

January 08, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * A O'Brien Timothy John

(Last) (First) (Middle) Statement

(Month/Day/Year) 01/01/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol ATHENAHEALTH INC [ATHN]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O ATHENAHEALTH, INC., 311 ARSENAL ST.

(Street)

10% Owner Director _X__ Officer Other (give title below) (specify below) SVP, Chief Marketing Officer

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

WATERTOWN, MAÂ 02472

(State)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form: Direct (D)

> (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership

(Instr. 5) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title

Derivative Amount or Security Number of Shares

Direct (D) or Indirect (I)

						(Instr. 5)	
Stock Option (Right to Buy)	(1)	01/01/2021	Common Stock	375	\$ 41.71	D	Â
Restricted Stock Unit	(2)	02/01/2016	Common Stock	1,250	\$ <u>(3)</u>	D	Â
Restricted Stock Unit	(4)	03/01/2016	Common Stock	62	\$ (3)	D	Â
Restricted Stock Unit	(5)	08/01/2016	Common Stock	625	\$ <u>(3)</u>	D	Â
Restricted Stock Unit	(6)	03/01/2017	Common Stock	501	\$ <u>(3)</u>	D	Â
Restricted Stock Unit	(7)	03/01/2018	Common Stock	636	\$ <u>(3)</u>	D	Â
Restricted Stock Unit	(8)	03/01/2019	Common Stock	898	\$ <u>(3)</u>	D	Â
Restricted Stock Unit	(9)	03/01/2019	Common Stock	2,000	\$ <u>(3)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting 6 wher runner runners	Director	10% Owner	Officer	Other		
O'Brien Timothy John C/O ATHENAHEALTH, INC. 311 ARSENAL ST. WATERTOWN, MA 02472	Â	Â	SVP, Chief Marketing Officer	Â		

Signatures

/s/ Lan Marinelli, Attorney-in-Fact 01/06/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On January 3, 2011, the Reporting Person was granted 1,500 stock options. The stock options vest in four equal annual installments beginning on January 1, 2012.
- On February 1, 2012, the Reporting Person was granted 5,000 restricted stock units. The restricted stock units vest in four equal annual installments beginning on February 1, 2013.
- (3) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.
- (4) On March 1, 2012, the Reporting Person was granted 250 restricted stock units. The restricted stock units vest in four equal annual installments beginning on March 1, 2013.
- On August 1, 2012, the Reporting Person was granted 2,500 restricted stock units. The restricted stock units vest in four equal annual installments beginning on August 1, 2013.

Reporting Owners 2

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- (6) On March 1, 2013, the Reporting Person was granted 1,003 restricted stock units. The restricted stock units vest in four equal annual installments beginning on March 1, 2014.
- (7) On March 3, 2014, the Reporting Person was granted 849 restricted stock units. The restricted stock units vest in four equal annual installments beginning on March 1, 2015.
- (8) On March 2, 2015, the Reporting Person was granted 898 restricted stock units. The restricted stock units vest in four equal annual installments beginning on March 1, 2016.
- (9) On March 2, 2015, the Reporting Person was granted 2,000 restricted stock units. The restricted stock units vest in four equal annual installments beginning on March 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.