Rutherford Denise R Form 3 April 10, 2019

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement 3M CO [MMM] A Rutherford Denise R (Month/Day/Year) 04/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3M CENTER (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person MAPLEWOOD, MNÂ 55144 (give title below) (specify below) Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 9,980.2971 (1) Common Stock 2,394 (2) I By 401k/paesop Trust Common Stock 122 Ι By Spouse Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. (Instr. 4) **Expiration Date** Securities Underlying Beneficial Conversion Ownership (Month/Day/Year) **Derivative Security** Ownership or Exercise Form of

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Non-qualified Stock Option (Right to Buy)	02/04/2015	02/02/2024	Common Stock	9,592	\$ 126.72	D	Â
Non-qualified Stock Option (Right to Buy)	02/03/2016	02/03/2025	Common Stock	9,571	\$ 165.94	D	Â
Non-qualified Stock Option (Right to Buy)	02/02/2017	02/02/2026	Common Stock	10,228	\$ 147.87	D	Â
Non-qualified Stock Option (Right to Buy)	02/07/2018	02/06/2027	Common Stock	10,033	\$ 175.76	D	Â
Non-qualified Stock Option (Right to Buy)	02/06/2019	02/04/2028	Common Stock	7,087	\$ 233.63	D	Â
Non-qualified Stock Option (Right to Buy)	02/05/2020	02/04/2029	Common Stock	7,207	\$ 201.12	D	Â
Non-qualified Stock Option (Right to Buy)	02/04/2015	02/04/2024	Common Stock	605	\$ 126.72	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/03/2016	02/03/2025	Common Stock	1,135	\$ 165.94	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/02/2017	02/02/2026	Common Stock	501	\$ 147.87	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/06/2018	02/06/2027	Common Stock	1,185	\$ 175.76	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/06/2019	02/04/2028	Common Stock	447	\$ 233.63	I	By Spouse

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Transfer and the same and	Director	10% Owner	Officer	Other		
Rutherford Denise R 3M CENTER MAPLEWOOD, MN 55144	Â	Â	Senior Vice President	Â		

## **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Ms.
Rutherford
04/10/2019

\*\*Signature of Reporting Person

Reporting Owners 2

Date

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.