

SHEPHERD W CLYDE III

Form 4

April 09, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SHEPHERD W CLYDE III

2. Issuer Name **and** Ticker or Trading  
Symbol  
FIDELITY SOUTHERN CORP  
[LION]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
3490 PIEDMONT ROAD, SUITE  
1550

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/14/2019

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

ATLANTA, GA 30305

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Fidelity Southern Corporation - Common Stock	02/14/2019		J	V	621.9892	A	\$ 30.96 325,499.0907
Fidelity Southern Corporation - Common Stock	02/14/2019		J	V	49.8943	A	\$ 30.96 325,548.985
	04/05/2019		A			A	325,948.5977

Edgar Filing: SHEPHERD W CLYDE III - Form 4

Fidelity Southern Corporation - Common Stock			399.6127		\$			
			<u>(1)</u>			28.146		
Fidelity Southern Corporation - Common Stock	04/05/2019	P	426.3483	A	\$	326,374.946	D	
					28.146			
Fidelity Southern Corporation - Common Stock						2,078	I	By Child - Wm Clyde S Shepherd
Fidelity Southern Corporation - Common Stock						0	I	By Custodian For Child
Fidelity Southern Corporation - Common Stock						39,998	I	By Family Foundation
Fidelity Southern Corporation - Common Stock						5,784	I	By Family Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
--	--	--------------------------------------	--	--------------------------------	--	--	---

## Edgar Filing: SHEPHERD W CLYDE III - Form 4

(Instr. 3,  
4, and 5)

					Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
		Code	V	(A)	(D)				
Stock Option (Right to Buy)	\$ 17.87					01/21/2017 <sup>(2)</sup>	01/21/2021	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 23.75					01/18/2019 <sup>(3)</sup>	01/18/2023	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 22.91					01/19/2018 <sup>(4)</sup>	01/19/2022	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 16.82 <sup>(5)</sup>					03/19/2016	03/19/2020	Fidelity Southern Corporation - Common Stock	10,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHEPHERD W CLYDE III 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305	X			

## Signatures

Elna Kolarich, Attorney in Fact for W. Clyde  
Shepherd III

04/09/2019

          \*\*Signature of Reporting Person

Date \_\_\_\_\_

### Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares awarded for service as a Director during the previous quarter.

(2) Exercisable: 1/3 on 1/21/2017; 1/3 on 1/21/2018; 1/3 on 1/21/2019

## Edgar Filing: SHEPHERD W CLYDE III - Form 4

(3) Exercisable 1/3 on 1/18/2019, 1/3 on 1/18/2020, 1/3 on 1/18/2021

(4) Exercisable 1/3 on 1/19/2018, 1/3 on 1/19/2019, 1/3 on 1/19/2020

(5) Exercisable: 1/3 on 3/19/16, 1/3 on 3/19/17, 1/3 on 3/19/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.