#### **BUCHANAN DAVID**

Form 4

January 24, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction

1(b).

(Print or Type Responses)

**BUCHANAN DAVID** 

(Last)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

FIDELITY SOUTHERN CORP

(Check all applicable)

[LION] (Middle)

3. Date of Earliest Transaction

Director 10% Owner \_X\_\_ Officer (give title \_\_X\_\_ Other (specify

(First) 3490 PIEDMONT ROAD, #1550

(Month/Day/Year) 01/22/2019

Symbol

Vice President / Executive Officer

6. Individual or Joint/Group Filing(Check

below)

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

ATLANTA, GA 30305

						•	Cison		
(City)	(State) (Z	Cip) Table	I - Non-De	rivative S	ecurit	ies Acqui	ired, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)  Fidelity Southern Corporation	2. Transaction Date (Month/Day/Year) 01/22/2019		3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,  Amount 7,559	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
- Common Stock				_		20.95			
Fidelity Southern Corporation - Common Stock							30,957	I	By 401(k)
Fidelity Southern							3,467	I	Ira/401(k) Rollover

#### Edgar Filing: BUCHANAN DAVID - Form 4

Corporation Account

- Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 7.0625					12/16/1999(3)	12/16/2006	Fidelity Southern Corporation - Common Stock	5,000
Stock Option (Right to Buy)	\$ 18.7					02/01/2008(4)	02/01/2011	Fidelity Southern Corporation - Common Stock	5,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BUCHANAN DAVID 3490 PIEDMONT ROAD, #1550 ATLANTA, GA 30305			Vice President	Executive Officer			

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## **Signatures**

Elna Kolarich, Attorney in Fact for David Buchanan

01/24/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld for tax obligations (vested restricted shares)
- (2) Purchased 196 shares at various times and prices during 2004 in the Fidelity Southern Corporation 401(k) Plan
- (3) Variable vesting dates
- (4) Exercisable: 1/3 on 02/01/08; 1/3 on 02/01/09; 1/3 on 02/01/10

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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