



Edgar Filing: Zuckerberg Mark - Form 4

Class A Common Stock	03/12/2018	<u>S(1)</u>	89,526	D	\$ 184.9565 (6)	37,974	I	By CZI Holdings, LLC (5)
Class A Common Stock	03/12/2018	<u>S(1)</u>	37,974	D	\$ 185.6028 (7)	0	I	By CZI Holdings, LLC (5)
Class A Common Stock	03/13/2018	<u>S(1)</u>	39,654	D	\$ 181.9159 (8)	8,443,096	I	By Chan Zuckerberg Foundation (3)
Class A Common Stock	03/13/2018	<u>S(1)</u>	25,662	D	\$ 182.5314 (9)	8,417,434	I	By Chan Zuckerberg Foundation (3)
Class A Common Stock	03/13/2018	<u>S(1)</u>	12,745	D	\$ 183.8776 (10)	8,404,689	I	By Chan Zuckerberg Foundation (3)
Class A Common Stock	03/13/2018	<u>S(1)</u>	8,736	D	\$ 184.7237 (11)	8,395,953	I	By Chan Zuckerberg Foundation (3)
Class A Common Stock	03/13/2018	<u>S(1)</u>	5,703	D	\$ 185.5784 (12)	8,390,250	I	By Chan Zuckerberg Foundation (3)
Class A Common Stock	03/13/2018	C	127,500	A	\$ 0	127,500	I	By CZI Holdings, LLC (5)
Class A Common Stock	03/13/2018	<u>S(1)</u>	61,764	D	\$ 181.9615 (13)	65,736	I	By CZI Holdings, LLC (5)
Class A Common Stock	03/13/2018	<u>S(1)</u>	29,682	D	\$ 182.6152 (14)	36,054	I	By CZI Holdings, LLC (5)
Class A Common Stock	03/13/2018	<u>S(1)</u>	17,174	D	\$ 183.91 (15)	18,880	I	By CZI Holdings, LLC (5)
Class A Common Stock	03/13/2018	<u>S(1)</u>	11,998	D	\$ 184.7779 (16)	6,882	I	By CZI Holdings, LLC (5)
Class A Common Stock	03/13/2018	<u>S(1)</u>	6,882	D	\$ 185.5838 (17)	0	I	By CZI Holdings, LLC (5)

Edgar Filing: Zuckerberg Mark - Form 4

Class A Common Stock	472,368	I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 2 Dated 5/8/2014 <sup>(18)</sup>
Class A Common Stock	564,765	I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 <sup>(19)</sup>
Class A Common Stock	32,900	I	By Chan Zuckerberg Advocacy <sup>(20)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock <sup>(21)</sup>	<sup>(21)</sup>	03/12/2018		C	127,500	<sup>(21)</sup>	<sup>(21)</sup>	Class A Common Stock	127,500
Class B Common Stock <sup>(21)</sup>	<sup>(21)</sup>	03/13/2018		C	127,500	<sup>(21)</sup>	<sup>(21)</sup>	Class A Common Stock	127,500
Class B Common Stock <sup>(21)</sup>	<sup>(21)</sup>					<sup>(21)</sup>	<sup>(21)</sup>	Class A Common Stock	1,908,602



## Edgar Filing: Zuckerberg Mark - Form 4

- (7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$185.31 to \$186.00 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$181.23 to \$182.22 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (9) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$182.23 to \$183.22 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (10) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$183.30 to \$184.29 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (11) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$184.30 to \$185.28 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (12) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$185.31 to \$185.95 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$181.33 to \$182.32 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$182.34 to \$183.28 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$183.34 to \$184.33 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (16) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$184.35 to \$185.32 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (17) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$185.35 to \$185.89 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (18) Shares held of record by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 2, dated 5/8/2014.
- (19) Shares held of record by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 3, dated 5/8/2014.
- (20) Shares held of record by Chan Zuckerberg Advocacy. The reporting person is deemed to have voting and investment power over the shares held by Chan Zuckerberg Advocacy, but has no pecuniary interest in these shares.
- (21) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.
- (22) Shares held of record by Mark Zuckerberg, Trustee of the 2006 Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

## Edgar Filing: Zuckerberg Mark - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.