

Fisher Daniel William  
Form 4  
February 15, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Fisher Daniel William

(Last) (First) (Middle)

10 LONGS PEAK DRIVE

(Street)

BROOMFIELD, CO 80021

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
BALL Corp [BLL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/13/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_X\_ Officer (give title \_\_\_\_\_ Other (specify  
below) below)

Sr VP & COO Global Bev Pkg

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	02/13/2018		M <sup>(1)</sup>		5,000	A \$ 37.76	22,217	D	
Common Stock	02/13/2018		F <sup>(2)</sup>		1,450	D \$ 37.76	20,767	D	
Common Stock	02/14/2018		M <sup>(1)</sup>		2,500	A \$ 38.12	23,267	D	
Common Stock	02/14/2018		F <sup>(2)</sup>		725	D \$ 38.12	22,542	D	
Common Stock	02/14/2018		M <sup>(3)</sup>		1,750	A \$ 18.85	24,292	D	

# Edgar Filing: Fisher Daniel William - Form 4

Common Stock	02/14/2018	F <sup>(4)</sup>	1,122	D	\$ 38.12	23,170	D	
Common Stock	02/14/2018	M <sup>(3)</sup>	7,000	A	\$ 38.12	30,170	D	
Common Stock	02/14/2018	F <sup>(4)</sup>	5,229	D	\$ 38.12	24,941	D	
401K						1,821.259	I	401(k) Plan <sup>(5)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(6)	02/13/2018		M(7)		5,000		(7)	(7)	Common Stock	5,000
Restricted Stock Units	(6)	02/14/2018		M(7)		2,500		(7)	(7)	Common Stock	2,500
Stock Appreciation Rights (sars)	\$ 18.85	02/14/2018		M(8)		1,750		01/25/2013	01/25/2022	Common Stock	1,750
Stock Appreciation Rights (sars)	\$ 24.535	02/14/2018		M(8)		7,000		01/29/2015	01/29/2024	Common Stock	7,000

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

Fisher Daniel William  
10 LONGS PEAK DRIVE  
BROOMFIELD, CO 80021

Sr VP & COO Global Bev Pkg

## Signatures

/s/ Janice L. Rodriguez, attorney-in-fact for Mr.  
Fisher

02/15/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired upon the lapse of Table II Restricted Stock Units.
- (2) Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II Restricted Stock Units.
- (3) Common stock acquired upon exercise of Stock Appreciation Rights (SARs).
- (4) Shares utilized to pay for the cost of the SARS and for payment of the tax obligation on the SARS exercise.
- (5) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (6) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- (7) Lapse of restricted stock units.
- (8) Exercise of Stock Appreciation Rights (SARS)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.