## Edgar Filing: STRAIN ROBERT D - Form 4

STRAIN ROBE	RTD									
February 01, 201	8									
FORM 4			GEGU			GHANG			PPROVAL	
	UNITED	STATES		RITIES A shington			E COMMISSION	OMB Number:	3235-0287	
Check this bo if no longer								Expires:	January 31, 2005	
subject to Section 16. Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated a burden hou response	average Irs per	
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	Section 17(	(a) of the I	Public U	Itility Hol	ding Co		inge Act of 1934, t of 1935 or Section 1940	on		
(Print or Type Respo	onses)									
1. Name and Addre STRAIN ROBE		Person <u>*</u>	Symbol	er Name <b>an</b> Corp [BL		Trading	5. Relationship o Issuer			
(Last)	(First) (	Middle)	(Ch					ck all applicable)		
BALL CORPORATION, 10 LONGS PEAK DRIVE			(Month/Day/Year)				Director 10% Owner X_ Officer (give title Other (specify below) below) Sr. VP BallCorp & Pres. BATC			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
BROOMFIELD	, CO 80021						Person		1 0	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
	ransaction Date nth/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3, -	(A) or of (D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report o	n a senarate line	e for each el	ass of sec	urities bene	ficially ow	ned directly	or indirectly			
Actimider. Report 0	a sopurate mit				Perso inforr requi	ons who re nation con red to resp ays a curre	spond to the colle tained in this form ond unless the for ently valid OMB co	n are not rm	SEC 1474 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and A
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDerivative Securities	Expiration Date	Underlying S
Security	or Exercise		any	Code	Acquired (A) or	(Month/Day/Year)	(Instr. 3 and 4

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(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	(Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)					
	Security			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Units	(1)	01/31/2018		M <u>(2)</u>			6,954	(2)	(2)	Common Stock
Deferred Compensation Company Stock Plan	<u>(3)</u>	01/31/2018		M <u>(4)</u>		14,430.466		(5)	(5)	Common Stock

## **Reporting Owners**

S

Reporting Owner Name / Address	Relationships						
1.0	Director	10% Owner	Officer	Other			
STRAIN ROBERT D BALL CORPORATION 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021			Sr. VP BallCorp & Pres. BATC				
Signatures							

/s/ Janice L. Rodriguez, attorney-in Strain	n-fact for Mr. 02/01/2018
<b>**</b> Signature of Reporting Perso	on Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- (2) Lapse of restrictions on Performance Contingent Restricted Stock Units, as a result of attainment of the performance criteria, based on the applicable Ball Corporation Stock and Cash Incentive Plan performance factor and in accordance with the provisions of said Plan.
- (3) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (4) Lapse of restricted stock units deferred into Ball Corporation's Deferred Compensation Company Stock Plan and may include company match.
- (5) Stock Units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.