CASPER MARC N Form 4

December 19, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

12/15/2017

(Print or Type Responses)

1. Name and Address of Reporting Person * CASPER MARC N				2. Issuer Name and Ticker or Trading Symbol THERMO FISHER SCIENTIFIC INC. [TMO]					5. Relationship of Reporting Person(s) to Issuer			
									(Check all applicable)			
			(Month/	3. Date of Earliest Transaction (Month/Day/Year)					_X_ Director 10% Owner _X_ Officer (give title Other (specify below)			
168 THIRD AVENUE				12/15/2017					Chief Executive Officer			
(Street)				4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
				Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
WALTHAM, MA 02451									Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Tal	ble I - No	n-l	Derivative	Secu	rities Acqu	iired, Disposed o	f, or Benefici	ally Owned
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any (Month/Da	Date, if	Code (Instr. 3, 4 and 5)				D)	Securities Ownership Indi Beneficially Form: Ben Owned Direct (D) Own Following or Indirect (Ins	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code '	V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
	Common Stock	12/15/2017			M		50,000	A	\$ 46.56	302,576.53	D	
	Common Stock	12/15/2017			S(1)		1,200	D	\$ 189.73 (2)	301,376.53	D	
	Common Stock	12/15/2017			S <u>(1)</u>		5,500	D	\$ 191.11	295,876.53	D	

 $S^{(1)}$ 

(3)

(4)

191.62 268,942.53

D

26,934 D

#### Edgar Filing: CASPER MARC N - Form 4

Common  $S^{(1)}$ 192.29 252,576.53 D 12/15/2017 16,366 D Stock (5) By Marc N. Casper Common 69,330 I 2012 Stock Irrevocable Trust Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercis	sable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities	
Security	or Exercise		any	Code	Securities	(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	(Instr. 8) Acquired (A)				
	Derivative				or Disposed of				
	Security			(D)					
				(Instr. 3, 4,					
					and 5)				
						Date Exercisable	Expiration Date	Title	Amount or Number
				Code V	(A) (D)				of Shares
Stock Option (Right to Buy)	\$ 46.56	12/15/2017		M	50,000	08/07/2013	11/21/2019	Common Stock	50,000

# **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships						
<b></b>	Director	10% Owner	Officer	Other			
CASPER MARC N 168 THIRD AVENUE WALTHAM, MA 02451	X		Chief Executive Officer				
Signatures							
/s/ Barbara J. Lucas, Attorney-Casper	12/19/2017						

Reporting Owners 2

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 2, 2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$189.51 to \$189.95, inclusive. The reporting person undertakes to provide to Thermo Fisher Scientific Inc. ("TMO"), any security holder of TMO or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (3), (4) and (5) to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$190.39 to \$191.35, inclusive.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$191.36 to \$191.99, inclusive.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$192.00 to \$192.68, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.