**GARTNER INC** Form 4 April 05, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

| 1. Name and Address of Reporting Person |
|---|
| SMITH JAMES C                           |

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

GARTNER INC [IT]

3. Date of Earliest Transaction

(Check all applicable)

56 TOP GALLANT RD, P.O. BOX

(First)

(Middle)

(Zip)

(Month/Day/Year) 04/03/2017

X\_ Director 10% Owner Officer (give title Other (specify below)

10212

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

STAMFORD, CT 06904-2212

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A)

or Amount (D)

\$0

Transaction(s) (Instr. 3 and 4)

793,130

Common Stock

04/03/2017

Code V Price J(2)

402

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: GARTNER INC - Form 4

De: Sec (In

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Derivat | tive<br>cies<br>ed | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | Underlying Securities |  |
|---|---|--------------------------------------|---|---------------------------------------|---------|--------------------|--|--------------------|-----------------------|--|
|   |   |                                      |   | Code V                                | (A)     | (D)                | Date<br>Exercisable                                      | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares |
| Common<br>Stock<br>Equivalents<br>( CSE )           | \$ 0  | 04/03/2017                           |   | A                                     | 402     |                    | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock       | 402                                    |
| Common<br>Stock<br>Equivalents<br>( CSE )           | \$ 0  | 04/03/2017                           |   | J <u>(2)</u>                          |         | 402                | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock       | 402                                    |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| 1 0   | Director      | 10% Owner | Officer | Other |  |  |  |
| SMITH JAMES C<br>56 TOP GALLANT RD<br>P.O. BOX 10212<br>STAMFORD, CT 06904-2212 | X             |           |         |       |  |  |  |

## **Signatures**

/s/ Clare Kretzman for James C. Smith 04/05/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These are Common Stock Equivalents (CSE) received as compensation for service as an outside director of Gartner, Inc. They were

  (1) granted under the Company's 2014 Long-Term Incentive Plan (2014 LTIP). The Common Stock Equivalents convert into Gartner

  Common Stock on the date the outside director's continuous status as a director terminates, or as otherwise provided in the 2014 LTIP.
- (2) This reporting person has elected to receive an immediate distribution of the CSE shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2