

HOLOGIC INC  
Form 4  
April 05, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ULLIAN ELAINE

2. Issuer Name and Ticker or Trading Symbol  
HOLOGIC INC [HOLX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
250 CAMPUS DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
04/03/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

MARLBOROUGH, MA 01752  
(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	03/17/2017		G <sup>(1)</sup>	V 11,668 D \$ 0	23,810	D	
Common Stock	04/03/2017		M <sup>(2)</sup>	9,104 A \$ 32.815	32,914	D	
Common Stock	04/04/2017		M <sup>(2)</sup>	1,196 A \$ 32.815	34,110	D	
Common Stock	04/03/2017		S <sup>(2)</sup>	9,104 D \$ 42.4	23,810 <sup>(3)</sup>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not**

SEC 1474 (9-02)

required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Non-qualified Stock Option (Right to Buy)	\$ 32.815	04/03/2017		M <sup>(2)</sup>	9,104	<sup>(4)</sup> 10/22/2017	Common Stock	9,104
Non-qualified Stock Option (Right to Buy)	\$ 32.815	04/04/2017		M <sup>(2)</sup>	1,196	<sup>(4)</sup> 10/22/2017	Common Stock	1,196

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ULLIAN ELAINE 250 CAMPUS DRIVE MARLBOROUGH, MA 01752		X		

## Signatures

/s/ Patricia K. Dolan, attorney-in-fact for Ms. Ullian 04/05/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The Reporting Person voluntarily transferred 5,834 shares of common stock to the irrevocable Ullian Family 2014 GST Trust and 5,834 shares of common stock to the irrevocable Ullian Family Health and Education Trust.
- (2) Transaction pursuant to an existing rule 10b5-1 trading plan.
- (3) Includes 3,260 shares subject to deferral pursuant to Issuer's Deferred Equity Plan.
- (4) This option became fully vested on 10/22/2007.

## Edgar Filing: HOLOGIC INC - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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