Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 4

HAVERTY FURNITURE COMPANIES INC

Form 4

November 30, 2016

FORM	ЛΔ								OMB AF	PROVAL	
	UNITED	STATES		\mathbf{RITIES} A shington			ANGE CO	OMMISSION	OMB Number:	3235-0287	
if no lor	Check this box if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						ERSHIP OF	Expires:	January 31, 2005		
Section Form 4								Estimated average burden hours per response (
Form 5 obligation may cor <i>See</i> Install(b).	ons Section 17	(a) of the	Public U	tility Hol	ding Co	mpan	_	Act of 1934, 1935 or Section			
(Print or Type	Responses)										
Taylor Janet E Syr			Symbol HAVE	2. Issuer Name and Ticker or Trading Symbol HAVERTY FURNITURE				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			COMP.	ANIES II	NC [HV	Γ]		(,	
				Date of Earliest Transaction Ionth/Day/Year)				Director 10% Owner X Officer (give title Other (specify below)			
780 JOHNS 800	SON FERRY RD	D., SUITE	11/29/2	2016					General Couns	sel	
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
ATLANTA	A, GA 30342-							Form filed by Mo Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if		3. 4. Securities Acquired (A Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount		Price \$	(Instr. 3 and 4)			
Common Stock	11/29/2016			S	2,270	D	22.0211 (1)	24,884	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S ((
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
PRSUs 2014	<u>(2)</u>					(2)	(2)	Common Stock	1,718	
PRSUs 2015	<u>(3)</u>					(3)	(3)	Common Stock	2,994	
RSUs 2013	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	1,000	
RSUs 2014	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	1,210	
RSUs 2015	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	2,265	
RSUs 2016	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Common Stock	3,473	
Stock Appreciation Rights	\$ 18.14					<u>(8)</u>	01/24/2020	Common Stock	7,500	

Reporting Owners

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		

Taylor Janet E 780 JOHNSON FERRY RD. SUITE 800 ATLANTA, GA 30342-

Sr. VP, General Counsel

Signatures

Jenny H. Parker,
Attorney-in-Fact

**Signature of Reporting Person

Date

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$21.9750 to \$22.15 The price reported reflects the weighted (1) average sale price. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in the footnote.
- Performance Restricted Stock Units ("PRSUs") award granted 1/17/2014 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended December 31, 2014. This amount represents the number of performance units earned for fiscal year 2014, which were certified by the Executive Compensation and Employee Benefits Committee on 1/23/2015. The performance units vest on February 28, 2017.
- Performance Restricted Stock Units ("PRSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended December 31, 2015. This amount represents the number of performance units earned for fiscal year 2015, which were certified by the Executive Compensation and Employee Benefits Committee on 1/26/2016. The performance units vest on February 28, 2018.
- (4) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- (5) Restricted Stock Units ("RSUs") award granted 1/17/2014 under the 2004 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2015. Each RSU is equivalent to one share of common stock upon vesting.
- (6) Restricted Stock Units ("RSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2016. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Restricted Stock Units ("RSUs") award granted 1/26/2016 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2017. Each RSU is equivalent to one share of common stock upon vesting.
- (8) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.