FERRO CORP
Form 3
September 06, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0104
Expires:	January 31, 2005
Estimated average burden hours per response...	0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Barna James
(Last) (First) (Middle)
6060 PARKLAND BLVD.,Â SUITE 250
(Street)
MAYFIELD HEIGHTS,Â OHÂ 44124
(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
09/01/2016

3. Issuer Name **and** Ticker or Trading Symbol
FERRO CORP [FOE]

4. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
Chief Accounting Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,785	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Title			

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Performance Share Unit	Â (1)	12/31/2016	Common Stock	2,700	$ 0	D	Â
Performance Share Unit	Â (1)	12/31/2017	Common Stock	2,900	$ 0	D	Â
Performance Share Unit	Â (1)	12/31/2018	Common Stock	3,700	$ 0	D	Â
Phantom Shares	Â (2)	Â (2)	Common Stock	1,070.9593	$ (2)	D	Â
Restricted Share Unit	02/21/2016(3)	02/21/2016	Common Stock	2,900	$ 0	D	Â
Restricted Share Unit	02/20/2017	02/20/2017	Common Stock	1,100	$ 0	D	Â
Restricted Share Unit	02/18/2018	02/18/2018	Common Stock	1,200	$ 0	D	Â
Restricted Share Unit	02/17/2019	02/17/2019	Common Stock	1,500	$ 0	D	Â
Stock Options (Right to Buy)	02/23/2013	02/23/2022	Common Stock	1,500	$ 6.84	D	Â
Stock Options (Right to Buy)	02/21/2014	02/21/2023	Common Stock	6,000	$ 5.29	D	Â
Stock Options (Right to Buy)	02/20/2015	02/20/2024	Common Stock	2,200	$ 13.09	D	Â
Stock Options (Right to Buy)	02/18/2016	02/18/2025	Common Stock	2,500	$ 12.33	D	Â
Stock Options (Right to Buy)	02/17/2017	02/17/2026	Common Stock	4,300	$ 9.6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Barna James 6060 PARKLAND BLVD. SUITE 250 MAYFIELD HEIGHTS,Â OHÂ 44124	Â	Â	Â Chief Accounting Officer	Â

Signatures

/s/ John T. Bingle, Treasurer, by Power of Attorney	09/06/2016
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Performance Share Units granted as a performance award, vesting based upon degree of acheivement of performance goal. At the end of the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.

(2) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.

(3) The date reflected above as the Date Exercisable is the scheduled vesting date of the Restricted Share Units. Once vested, settlement of the Restricted Share Units and delivery of common shares is subject to an additional two-year holding period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.