#### Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

#### FIDELITY SOUTHERN CORP

Form 4

August 08, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

if no longer subject to Section 16. Form 4 or

Check this box

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per response... 0.5

10% Owner

\_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Lankford William C Jr

2. Issuer Name and Ticker or Trading

Symbol

FIDELITY SOUTHERN CORP [LION]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

3490 PIEDMONT ROAD, STE 1550 08/05/2016

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

below)

5. Relationship of Reporting Person(s) to

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

ATLANTA, GA 30305

Stock

| (City)                                       | (State) (Z                              | Table                                   | I - Non-Do   | erivative Secu | ırities          | Acquired, I                          | Disposed of, or Be   | eneficially Ov                                 | vned                    |
|--|---|---|--|----------------|------------------|--------------------------------------|--|--|-------------------------|
| 1.Title of<br>Security<br>(Instr. 3)         | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any | 3. 4. Securities Acquired (A) of TransactionDisposed of (D) Code (Instr. 3, 4 and 5) |                | ired (A) or      | 5. Amount of Securities Beneficially | 6.<br>Ownership<br>Form:   | 7. Nature of Indirect Beneficial               |                         |
|  |   | (Month/Day/Year)                        | (Instr. 8)  Code V   | Amount         | (A)<br>or<br>(D) | Price                                | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
| Fidelity Southern Corporation - Common Stock | 08/05/2016                              |   | A <u>(1)</u>   | 202.6185       | ` ′              | \$<br>17.2615                        | 22,686.0733  | D  |                         |
| Fidelity Southern Corporation - Common       |   |   |  |                |                  |                                      | 2,500  | I  | By spouse               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisab<br>Expiration Date<br>(Month/Day/Year |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|---|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 15.56  |   |   |  |  | 01/23/2015(2)   | 01/23/2019         | Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock      | 6,000                               |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 17.87  |   |   |  |  | 01/21/2017(3)   | 01/21/2021         | Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock      | 10,000                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 16.82<br>(4)   |   |   |  |  | 03/19/2016  | 03/19/2020         | Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock      | 10,000                              |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| Lankford William C Jr<br>3490 PIEDMONT ROAD, STE 1550<br>ATLANTA, GA 30305 | X             |           |         |       |  |  |

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## **Signatures**

Elna Kolarich, Attorney in Fact for William C. Lankford, Jr.

08/08/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the previous month.
- (2) Exercisable: 1/3 on 1/23/2015; 1/3 on 1/23/2016; 1/3 on 1/23/2017
- (3) Exercisable: 1/3 on 1/21/2017; 1/3 on 1/21/2018; 1/3 on 1/21/2019
- (4) Exercisable: 1/3 on 3/19/16, 1/3 on 3/19/17, 1/3 on 3/19/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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