

NACCO INDUSTRIES INC  
Form 4  
December 21, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BUTLER JOHN C JR**

2. Issuer Name and Ticker or Trading Symbol  
**NACCO INDUSTRIES INC [NC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 220**

3. Date of Earliest Transaction (Month/Day/Year)  
**12/17/2015**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below)  Other (specify below)  
**SrVP-Fin Treas & Chief Adm Off / Member of a Group**

(Street)  
**MAYFIELD HEIGHTS, OH 44124**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	12/14/2015		G	V	290	A	11	35,471	I	By Trust <sup>(2)</sup>
Class A Common Stock	12/14/2015		G	V	290	A	11	61,126	I	By Spouse/Trust <sup>(3)</sup>
Class A Common Stock	12/14/2015		G	V	290	A	11	4,083	I	By Trust-Child 1 <sup>(4)</sup>
	12/14/2015		G	V	290	A	11	3,927	I	

Edgar Filing: NACCO INDUSTRIES INC - Form 4

Class A Common Stock								By Trust-Child 2 (4)	
Class A Common Stock	12/17/2015		P	393	A	\$ 41.9858 (5)	61,519	I	By Spouse/Trust (3)
Class A Common Stock	12/18/2015		P	393	A	\$ 41.6671 (5)	61,912	I	By Spouse/Trust (3)
Class A Common Stock							7,650	I	By RAII/Child 1 (6)
Class A Common Stock							7,806	I	By RAII/Child 2 (6)
Class A Common Stock							12,257	I	By RAII/Spouse (7)
Class A Common Stock							11,008	I	to spouse by RAIV (A)
Class A Common Stock							2,800	I	By IRA (8)
Class A Common Stock							7,272	I	By RAII (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
---	---	---	---	---	---	--	---	---

Edgar Filing: NACCO INDUSTRIES INC - Form 4

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock					(1)	(1)	Class A Common Stock	69,458
Class B Common Stock					(1)	(1)	Class A Common Stock	30,818
Class B Common Stock					(1)	(1)	Class A Common Stock	9,195

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BUTLER JOHN C JR NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OH 44124			SrVP-Fin Treas & Chief Adm Off	Member of a Group

## Signatures

/s/ Jesse L. Adkins,  
attorney-in-fact  
Date: 12/21/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Reporting Person serves as Trustee with National City Bank of the J.C. Butler, Jr. Revocable Trust.
- (3) Held by Trust for the benefit of Reporting Person's Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Held by Trust, John C. Butler, Jr., Trustee, for the benefit of Reporting Person's minor child. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Purchases made as part of multiple share lots. Price represents average price.
- (6) Represents the Reporting Person's child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (8) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (9) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (10) Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates I, L.P.-----

## Edgar Filing: NACCO INDUSTRIES INC - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.