

Anthem, Inc.

Form 3

September 11, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â GRIFFIN BRIAN T

(Last) (First) (Middle)

120 MONUMENT CIRCLE

(Street)

INDIANAPOLIS,Â INÂ 46204

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/01/2015

3. Issuer Name and Ticker or Trading Symbol  
Anthem, Inc. [ANTM]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer \_\_\_\_ Other  
 (give title below) (specify below)  
 EVP & President, CSBD

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

34,457 <sup>(1)</sup>

D

Â

Common Stock

2,008

I

2014 GRAT Trust

Common Stock

17,917

I

2015 GRAT Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: Anthem, Inc. - Form 3

			(Instr. 4)		Price of	Derivative	
	Date	Expiration	Title	Amount or	Derivative	Security:	
	Exercisable	Date		Number of	Security	Direct (D)	
				Shares		or Indirect	
						(I)	(Instr. 5)
Employee Stock Option (Right to Buy)	Â (2)	03/01/2020	Common Stock	9,756	\$ 61.88	D	Â
Employee Stock Option (Right to Buy)	Â (3)	03/03/2021	Common Stock	14,830	\$ 89.44	D	Â
Employee Stock Option (Right to Buy)	Â (4)	03/02/2025	Common Stock	7,489	\$ 146.93	D	Â
Employee Stock Option (Right to Buy)	Â (5)	08/03/2025	Common Stock	5,374	\$ 152.78	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GRIFFIN BRIAN T 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46204	Â	Â	Â EVP & President, CSBD	Â

## Signatures

/s/ Kathleen S. Kiefer, Attorney  
in fact 09/11/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares which vest on various dates between February 1, 2016 and August 3, 2018.
- (2) The option, representing a right to purchase a total of 9,756 shares, became exercisable in six equal semi-annual installments of 1,626 shares each beginning on September 1, 2013, which was the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 14,830 shares, became exercisable in two equal semi-annual installments of 2,471 shares each and four semi-annual installments of 2,472 shares beginning on September 3, 2014, which was the six-month anniversary of the date on which the option was granted.
- (3) The option, representing a right to purchase a total of 7,489 shares, is exercisable in five equal semi-annual installments of 1,248 shares each and one semi-annual installment of 1,249 shares beginning on September 2, 2014, which is the six-month anniversary of the date on which the option was granted.
- (4) The option, representing a right to purchase a total of 5,374 shares, is exercisable in two equal semi-annual installments of 895 shares each and four semi-annual installments of 896 shares each beginning on February 3, 2016, which is the six-month anniversary of the date on which the option was granted.
- (5)

Â

### Remarks:

ExhibitÂ List:Â ExhibitÂ 24-PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

## Edgar Filing: Anthem, Inc. - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.