

WELLS FARGO & COMPANY/MN

Form 4

November 01, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
STUMPF JOHN G

2. Issuer Name **and** Ticker or Trading
Symbol
WELLS FARGO &
COMPANY/MN [WFC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
420 MONTGOMERY STREET
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
10/30/2013

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President, Chairman & CEO

SAN FRANCISCO, CA 94104

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$1 2/3 Par Value	10/30/2013		S		300,000	D	\$ 43.18 (1)
Common Stock, \$1 2/3 Par Value	10/30/2013		M		550,260	A	\$ 28.43
Common Stock, \$1 2/3 Par Value	10/30/2013		F		460,696	D	\$ 43.1 395,577

Through
Family
Trust

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Common Stock, \$1 2/3 Par Value	87,464.56 ⁽²⁾ I	Through 401(k) Plan
Common Stock, \$1 2/3 Par Value	7,435.722 ⁽³⁾ I	Through Ira
Common Stock, \$1 2/3 Par Value	112,000 I	Through JJS 2011 Irrevocable Trust
Common Stock, \$1 2/3 Par Value	150,000 I	Through RT 2011 Irrevocable Trust
Common Stock, \$1 2/3 Par Value	4,674.571 ⁽⁴⁾ I	Through Self Employed Pension Plan
Common Stock, \$1 2/3 Par Value	5,178.001 ⁽⁵⁾ I	Through Spouse's Ira

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Purchase	\$ 28.43	10/30/2013		M			550,260	02/24/2004	02/24/2014	Common Stock, \$1 2/3 Par	550,260

Option

Value

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STUMPF JOHN G 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104	X		President, Chairman & CEO	

Signatures

John G. Stumpf, by Anthony R. Augliera, as
Attorney-in-Fact

11/01/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$43.13 to \$43.29, inclusive. The reporting person undertakes to provide to Wells Fargo & Company (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
- (2) Reflects share equivalent of units in Wells Fargo ESOP Fund of 401(k) Plan (the "Plan") as of September 30, 2013, as if investable cash equivalents held by Plan were fully invested in Company common stock.
- (3) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 53.526 shares on 9/3/2013.
- (4) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 33.65 shares on 9/3/2013.
- (5) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 37.274 shares on 9/3/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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