## Edgar Filing: Berger David E. - Form 4

an David E

Berger David I Form 4	Е.									
March 19, 201	9									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287		
Check this l if no longer subject to Section 16. Form 4 or Form 5 obligations may continu	uant to Sectior ) of the Public	IANGES IN BENEFICIAL OWNERSHI SECURITIES on 16(a) of the Securities Exchange Act of 1 ic Utility Holding Company Act of 1935 or					Expires: January 31 2005 Estimated average burden hours per response 0.5			
<i>See</i> Instruction 30(h) of the Investment Company Act of 1940 1(b).										
(Print or Type Res	sponses)									
1. Name and Address of Reporting Person <u>*</u> Berger David E.							5. Relationship of Reporting Person(s) to Issuer			
			Information Services Group Inc. [III]				(Check all applicable)			
(Mon			Date of Earliest Transaction Month/Day/Year) 3/15/2019				Director 10% Owner X_ Officer (give title Other (specify below) EVP & CFO			
(Street) 4. If Amer Filed(Mon				ate Original <sup>r)</sup>			6. Individual or Joint/Group Filing(Check Applicable Line)			
STAMFORD,					_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) (2	Zip) T	ble I - Non-I	Derivative S	ecurit	ties Acq	uired, Disposed of	f, or Beneficial	lly Owned	
	urity (Month/Day/Year) Execution Date, if		Code	Transaction(A) or Disposed of			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Shares of			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
	03/15/2019		F <u>(1)</u>	36,972	D	\$ 3.6	646,479 <u>(2)</u>	D		
Shares of Common Stock	03/15/2019		A <u>(3)</u>	87,500	А	\$ 0	733,979	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: Berger David E. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addres	s	Relationships							
Fo8 o	Director	10% Owner	Officer	Other					
Berger David E. 2187 ATLANTIC ST STAMFORD, CT 06902			EVP & CFO						
Signatures									
David E. Berger	03/19/2019								

Reporting Person

\*\*Signature of

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents deemed disposition of shares of common stock to the Issuer as a result of withholding of shares of common stock to satisfy tax (1)withholding obligations in connection with vesting of restricted stock units issued in accordance with Rule 16b-3.
- Includes 1,422 shares acquired under the Information Services Group, Inc. Amended and Restated 2007 Employee Stock Purchase Plan. (2)
- Represents restricted stock units granted to the reporting person pursuant to the Information Services Group, Inc. Amended and Restated (3) 2007 Equity and Incentive Award Plan, which will vest 100% on March 15, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.