Edgar Filing: CDTI ADVANCED MATERIALS, INC. - Form 15-12B

CDTI ADVANCED MATERIALS, INC. Form 15-12B
December 10, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0167 Expires: May 31, 2021

Estimated average burden hours per

response . . . 1.50

FORM 15

CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION UNDER SECTION 12(g) OF THE SECURITIES EXCHANGE ACT OF 1934 OR SUSPENSION OF DUTY TO FILE REPORTS UNDER SECTIONS 13 AND 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934.

Commission File Number 001-33710

CDTI ADVANCED MATERIALS, INC.

(Exact name of registrant as specified in its charter)

Address: 1700 FISKE PLACE

OXNARD, CA 93033

Telephone Number: (805) 486-4649

(Address, including zip code, and telephone number, including area code, of registrant s principal executive offices)

Common Stock, \$0.01 par value

(Title of each class of securities covered by this Form)

None

(Titles of all other classes of securities for which a duty to file reports under section 13(a) or 15(d) remains)

Please place an X in the box(es) to designate the appropriate rule provision(s) relied upon to terminate or suspend the duty to file reports:

Edgar Filing: CDTI ADVANCED MATERIALS, INC. - Form 15-12B

Rule 12g-4(a)(1)	X
Rule 12g-4(a)(2)	O
Rule 12h-3(b)(1)(i)	X
Rule 12h-3(b)(1)(ii)	o
Rule 15d-6	o
Rule 15d-22(b)	o

Approximate number of holders of record as of the certification or notice date: 205

Pursuant to the requirements of the Securities Exchange Act of 1934, CDTI ADVANCED MATERIALS, INC. has caused this certification/notice to be signed on its behalf by the undersigned duly authorized person.

Date: December 10, 2018 By: /s/ Matthew Beale, Chief Executive Officer

Instruction: This form is required by Rules 12g-4, 12h-3 and 15d-6 and 15d-22 of the General Rules and Regulations under the Securities Exchange Act of 1934. The registrant shall file with the Commission three copies of Form 15, one of which shall be manually signed. It may be signed by an officer of the registrant, by counsel or by any other duly authorized person. The name and title of the person signing the form shall be typed or printed under the signature.

SEC 2069 (08-11) Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.