Morgan Stanley China A Share Fund, Inc. Form N-PX August 26, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### **FORM N-PX**

## ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

**Investment Company Act file number 811-21926** 

## Morgan Stanley China A Share Fund, Inc.

(Exact name of registrant as specified in charter)

**522 Fifth Avenue, New York, New York** (Address of principal executive offices)

10036

(Zip code)

Joseph Benedetti, Esq.

**Managing Director** 

Morgan Stanley Investment Management Inc.

522 Fifth Avenue

New York, New York 10036

(Name and address of agent for service)

Registrant s telephone number, including area code:

800-231-2608

Date of fiscal year end: 12/31

Date of reporting period: 7/1/15 6/30/16

ICA File Number: 811-21926

Reporting Period: 07/01/2015 - 06/30/2016

Morgan Stanley China A Share Fund, Inc.

======= Morgan Stanley China A Share Fund, Inc. =========

ANHUI CONCH CEMENT COMPANY LTD

Ticker: 600585 Security ID: Y01373110

Meeting Date: NOV 16, 2015 Meeting Type: Special

Record Date: OCT 16, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Provision of Guarantee for the For Abstain Management

Company's Subsidiaries and Invested

Company

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BEIJING WANGFUJING DEPARTMENT STORE (GROUP) CO., LTD.

Ticker: 600859 Security ID: Y0770F107

Meeting Date: JAN 19, 2016 Meeting Type: Special

Record Date: JAN 13, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for	For	For	Management
	Private Placement of New Shares			
2.00	Approve Private Placement of New Shares	For	For	Management
2.01	Approve Share Type and Par Value	For	For	Management
2.02	Approve Issue Manner	For	For	Management
2.03	Approve Target Subscribers and	For	For	Management
	Subscription Method			
2.04	Approve Pricing Reference Date, Issue	For	For	Management
	Price and Pricing Basis			
2.05	Approve Issue Size and Amount of	For	For	Management
	Raised Funds			
2.06	Approve Lock-up Period	For	For	Management
2.07	Approve Intended Usage of Raised Funds	For	For	Management
2.08	Approve Distribution Arrangement of	For	For	Management
	Undistributed Earnings			
2.09	Approve Listing Exchange	For	For	Management
2.10	Approve Resolution Validity Period	For	For	Management

3	Approve Plan on Private Placement of	For	For	Management
	New Shares			
4	Approve Conditional Shares	For	For	Management
	Subscription Agreement with Target			
	Subscribers in Connection to the			
	Private Placement			
5	Approve Feasibility Analysis Report on	For	For	Management
	the Intended Usage of Raised Funds			
6	Approve Related Party Transactions in	For	For	Management
	Connection to Private Placement			
7	Approve Report on the Usage of	For	For	Management
	Previously Raised Funds			
8	Approve Independence of Appraiser, the	For	For	Management
	Validity of Hypothesis and Assessment			
	Conclusion as well as Suitability of			
	Assessment Methods			
9	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
10	Approve Shareholder Return Plan in the	For	For	Management
	Next Three Years (2016-2018)			
11	Approve Change of Company Name and	For	For	Management
	Amendments on Articles of Association			
12	Elect Du Jiabin as Independent Director	For	For	Management
13	Elect Guo Zongliang as Supervisor	For	For	Management

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BONA FILM GROUP LTD.

Ticker: BONA Security ID: 09777B107

Meeting Date: DEC 29, 2015 Meeting Type: Annual

Record Date: DEC 03, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Adoption of the Audited For For Management

Financial Statements and Report of

Independent Registered public

accounting firm.

2 Approve the Appointment of Deloitte For For Management

Touche Tohmatsu CPA Ltd. as

Independent Auditor of the Company

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BONA FILM GROUP LTD.

Ticker: BONA Security ID: 09777B107

Meeting Date: MAR 04, 2016 Meeting Type: Special

Record Date: JAN 28, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Merger Agreement For Abstain Management

2 Approve Authorization of the Board to For Abstain Management

Handle Matters Regarding the Merger

3 Adjourn Meeting For Abstain Management

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CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: 600138 Security ID: Y1400N106

Meeting Date: DEC 21, 2015 Meeting Type: Special

Record Date: DEC 15, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.01	Elect Kang Guoming as Non-independent	For	For	Management
	Director			
1.02	Elect Zhang Lijun as Non-independent	For	For	Management
	Director			
1.03	Elect Liu Guangming as Non-independent	For	For	Management
	Director			
1.04	Elect Jiao Zhengjun as Non-independent	For	For	Management
	Director			
1.05	Elect Yuan Hao as Non-independent	For	For	Management
	Director			
1.06	Elect Li Jing as Non-independent	For	For	Management
	Director			
1.07	Elect Ni Yangping as Non-independent	For	For	Management
	Director			
2.01	Elect Chen Yejin as Independent	For	For	Management
	Director			

2.02	Elect Ying Lei as Independent Director	For	For	Management
2.03	Elect Zhou Qifeng as Independent	For	For	Management
	Director			
2.04	Elect Li Donghui as Independent	For	For	Management
	Director			
3.01	Elect Cha Derong as Supervisor	For	For	Management
3.02	Elect Tang Wenxuan as Supervisor	For	For	Management
4	Approve Allowance of Non-independent	For	For	Management
	Directors and Independent Directors			
5	Approve Allowance of Supervisors	For	For	Management

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CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: 600138 Security ID: Y1400N106

Meeting Date: MAY 20, 2016 Meeting Type: Annual

Record Date: MAY 13, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	Did Not Vote	Management
	Directors			
2	Approve Report of the Board of	For	Did Not Vote	Management
	Supervisors			
3	Approve Annual Report and Summary	For	Did Not Vote	Management
4	Approve Financial Statements	For	Did Not Vote	Management
5	Approve Profit Distribution	For	Did Not Vote	Management

6	Approve Report of the Independent	For	Did Not Vote Management
	Directors		
7	Approve Amendments to Articles of	For	Did Not Vote Management
	Association		
8	Amend Rules and Procedures Regarding	For	Did Not Vote Management
	General Meetings of Shareholders		
9	Amend Rules and Procedures Regarding	For	Did Not Vote Management
	Meetings of Board of Directors		
10	Elect Huang Jianhua as Independent	For	Did Not Vote Management
	Director		
11	Approve Investment by Wuzhen Toursim	For	Did Not Vote Management
	Co., Ltd. in Internet Conference		
	Service Facilities and Supporting		
	Engineering		
12	Approve 2016 Guarantee Plan	For	Did Not Vote Management
13	Approve Appointment of Financial	For	Did Not Vote Management
	Auditor and Internal Control Auditor		
	and Payment of Their Remuneration		

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CHINA INTERNATIONAL TRAVEL SERVICE CORP. LTD.

Ticker: 601888 Security ID: Y149A3100

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAY 12, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Report of the Independent	For	For	Management
	Directors			
4	Approve Financial Statements	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Profit Distribution	For	For	Management

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CHINA NATIONAL NUCLEAR POWER CO., LTD.

Ticker: 601985 Security ID: Y1507R109

Meeting Date: MAY 31, 2016 Meeting Type: Annual

Record Date: MAY 24, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Report of the Independent	For	For	Management
	Directors			
4	Approve 2016 Allowances of Independent	For	For	Management

	Directors			
5	Approve 2016 Remuneration of	For	For	Management
	Supervisors			
6	Approve Financial Statements	For	For	Management
7	Approve 2016 Financial Budget Report	For	For	Management
8	Approve Profit Distribution	For	For	Management
9	Approve 2016 Appointment of Auditor	For	For	Management
10	Approve 2016 Investment Plan	For	For	Management
11	Approve Annual Report and Annual	For	For	Management
	Report Summary			
12	Amend Management System of Raised Funds	For	For	Management
13	Amend Work System for Independent	For	For	Management
	Directors			
14	Approve Signing of 2016 to 2017 Daily	For	For	Management
	Related-party Transaction Framework			
	Agreement			
15	Approve Project Preliminary Design	For	For	Management
	Estimates for Nuclear Power Plant			

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CHINA OVERSEAS GRAND OCEANS GROUP LTD.

Units 5 and 6 in Fuqing, Fujian

Ticker: 81 Security ID: Y1505S117

Meeting Date: MAY 23, 2016 Meeting Type: Annual

Record Date: MAY 19, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and	For	For	Management
	Statutory Reports			
2a	Elect Hao Jian Min as Director	For	For	Management
2b	Elect Xiang Hong as Director	For	For	Management
2c	Elect Liu Jun as Director	For	For	Management
2d	Elect Lam Kin Fung, Jeffrey as Director	For	For	Management
3	Authorize Board to Fix Remuneration of	For	For	Management
	Directors			
4	Approve BDO Limited as Auditors and	For	For	Management
	Authorize Board to Fix Their			
	Remuneration			
5	Authorize Repurchase of Issued Share	For	For	Management
	Capital			
6	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
7	Authorize Reissuance of Repurchased	For	Against	Management
	Shares			

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CHINA PACIFIC INSURANCE (GROUP) CO., LTD

Ticker: 601601 Security ID: Y1505Z111

Meeting Date: OCT 29, 2015 Meeting Type: Special

Record Date: OCT 22, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Lee Ka Sze, Carmelo as Director	For	Against	Management
2	Elect Zhang Xinmei as Supervisor	For	For	Management

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CHINA PACIFIC INSURANCE (GROUP) CO., LTD

Ticker: 601601 Security ID: Y1505Z111

Meeting Date: JUN 17, 2016 Meeting Type: Annual

Record Date: JUN 08, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2015 Report of the Board of	For	For	Management
	Directors			
2	Approve 2015 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2015 Annual Report of A shares	For	For	Management
4	Approve 2015 Annual Report of H shares	For	For	Management
5	Approve 2015 Financial Statements And	For	For	Management
	Statutory Reports			
6	Approve 2015 Profit Distribution Plan	For	For	Management
7	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP as PRC Auditor and Internal			
	Control Auditor and			

PricewaterhouseCoopers as Overseas

Auditor and Authorize Board to Fix

Their Remuneration

8 Approve 2015 Due Diligence Report of For For Management

the Board of Directors

9 Approve 2015 Report on Performance of For For Management

Independent Directors

10 Approve Issuance of Equity or For Against Management

Equity-Linked Securities without

Preemptive Rights

11 Approve Routine Related Party For For Management

Transactions in Respect of Funds

Utilization and Financial Product

Business

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CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105

Meeting Date: AUG 25, 2015 Meeting Type: Special

Record Date: AUG 19, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Acquisition of Equity of For For Management

Zhejiang Zhongyi Pharmaceutical Co.,

Ltd.

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CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105

Meeting Date: NOV 13, 2015 Meeting Type: Special

Record Date: NOV 09, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Increasing Capital and For For Management

Providing Loans to Wholly Owned

Subsidiary, Shenzhen Huarun Jiuxin

Pharmaceutical Co., Ltd.

2 Approve Investment in Financial For For Management

Products

3 Approve Appointment of 2015 Auditor For For Management

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CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105

Meeting Date: DEC 28, 2015 Meeting Type: Special

Record Date: DEC 22, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Zhang Liqiang as Non-Independent	None	For	Shareholder
	Director			
1.2	Elect Wen Quan as Non-Independent	None	For	Shareholder
	Director			
1.3	Elect Wen Jingwen as Non-Independent	None	For	Shareholder
	Director			
2	Elect Liu Wentao as Supervisor	None	For	Shareholder

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CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105

Meeting Date: MAY 23, 2016 Meeting Type: Annual

Record Date: MAY 17, 2016

#	Proposal	Mgt Rec	Vote Cast Sponsor
1	Approve Report of the Board of	For	Did Not Vote Management
	Directors		
2	Approve Report of the Board of	For	Did Not Vote Management
	Supervisors		
3	Approve Financial Statements	For	Did Not Vote Management
4	Approve Profit Distribution	For	Did Not Vote Management
5	Approve Annual Report and Summary	For	Did Not Vote Management
6	Approve 2016 Daily Related-party	For	Did Not Vote Management
	Transactions		

7.1 Elect Li Guohui as Supervisor None Did Not Vote Shareholder

CHINA SOUTH PUBLISHING & MEDIA GROUP CO LTD

Ticker: 601098 Security ID: Y15174108

Meeting Date: MAY 17, 2016 Meeting Type: Annual

Record Date: MAY 10, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Report of the Independent	For	For	Management
	Directors			
4	Approve Remuneration of Directors	For	For	Management
5	Approve Remuneration of Supervisors	For	For	Management
6	Approve Annual Report and Its Summary	For	For	Management
7	Approve Profit Distribution	For	For	Management
8	Approve Financial Statements	For	For	Management
9	Approve Appointment of Ruihua CPAs as	For	For	Management
	Financial Auditor and Internal Control			
	Auditor			
10	Approve Signing of Financial Services	For	For	Management
	Agreement with Controlling Shareholders	5		

11	Approve 2015 Daily Related-party	For	For	Management
	Transactions and 2016 Daily			
	Related-party Transactions			
12	Approve Expansion of Business Scope	For	For	Management
	and Amend Articles of Association			
13.01	Elect Peng Bo as Non-Independent	For	For	Management
	Director			
14.01	Elect He Xiaogang as Independent	For	For	Management
	Director			

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CHINA VANKE CO., LTD.

Ticker: 000002 Security ID: Y77421116

Meeting Date: AUG 31, 2015 Meeting Type: Special

Record Date: AUG 21, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Repurchase of Issued A Share	For	For	Management
	Capital			
1.	Approve Method of Share Repurchase	For	For	Management
1.	2 Approve Price Range of the Share	For	For	Management
	Repurchase			
1.	Approve Type, Quantity and Proportion	For	For	Management
	to the Total Share Capital			
1.	Approve Total Proceeds of the Share	For	For	Management

Repurchase and the Source of Funding

1.5	Approve Period of Share Repurchase	For	For	Management
1.6	Approve Resolution Validity Period	For	For	Management
2	Authorize Board to Handle All Matters	For	For	Management
	Related to the Repurchase of Issued A			
	Share Capital			

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CHONGQING CHANGAN AUTOMOBILE CO., LTD.

Ticker: 200625 Security ID: Y1584K100

Meeting Date: MAR 23, 2016 Meeting Type: Special

Record Date: MAR 15, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Amendments to Articles of	For	For	Management
	Association			
2.1	Elect Xu Liuping as Non-Independent	For	For	Management
	Director			
2.2	Elect Zhang Baolin as Non-Independent	For	For	Management
	Director			
2.3	Elect Zhu Huarong as Non-Independent	For	For	Management
	Director			
2.4	Elect Zhou Zhiping as Non-Independent	For	For	Management
	Director			
2.5	Elect Tan Xiaogang as Non-Independent	For	For	Management

	Director			
2.6	Elect Wang Xiaoxiang as	For	For	Management
	Non-Independent Director			
2.7	Elect Wang Kun as Non-Independent	For	For	Management
	Director			
2.8	Elect Shuai Tianlong as Independent	For	For	Management
	Director			
2.9	Elect Liu Jipeng as Independent	For	For	Management
	Director			
2.10	Elect Li Xiang as Independent Director	For	For	Management
2.11	Elect Li Qingwen as Independent	For	For	Management
	Director			
2.12	Elect Tan Xiaosheng as Independent	For	For	Management
	Director			
2.13	Elect Hu Yu as Independent Director	For	For	Management
2.14	Elect Pang Yong as Independent Director	For	For	Management
2.15	Elect Chen Quanshi as Independent	For	For	Management
	Director			
3.1	Elect Xiao Yong as Supervisor	For	For	Management
3.2	Elect Sun Dahong as Supervisor	For	For	Management

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3.3 Elect Zhao Huixia as Supervisor For For Management

CHONGQING CHANGAN AUTOMOBILE CO., LTD.

Ticker: 200625 Security ID: Y1584K100

Meeting Date: APR 29, 2016 Meeting Type: Special

Record Date: APR 22, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for	For	Against	Management
	Private Placement of Shares			
2.1	Approve Share Type and Par Value	For	Against	Management
2.2	Approve Issue Manner and Issue Time	For	Against	Management
2.3	Approve Issue Price and Pricing Basis	For	Against	Management
2.4	Approve Issue Size	For	Against	Management
2.5	Approve Target Subscribers and	For	Against	Management
	Subscription Method			
2.6	Approve Use of Proceeds	For	Against	Management
2.7	Approve Distribution Arrangement of	For	Against	Management
	Cumulative Earnings			
2.8	Approve Lock-up Period Arrangement	For	Against	Management
2.9	Approve Listing Exchange	For	Against	Management
2.10	Approve Contractual Obligations and	For	Against	Management
	Liabilities for the Related			
	Subscription Agreement			
2.11	Approve Resolution Validity Period	For	Against	Management
3	Approve Plan on Private Placement of	For	Against	Management
	Shares			
4	Approve Feasibility Analysis Report on	For	Against	Management
	the Use of Proceeds			
5	Approve Related Party Transactions in	For	Against	Management
	Connection to Private Placement			
6	Approve Risk Alert of Dilution of	For	Against	Management

Current Returns and the Relevant

Measures to be Taken

7 Approve Subscription Agreement and For Against Management

Related Transactions

8 Approve Report on the Usage of For Against Management

Previously Raised Funds

9 Approve Exemption from the Tender For Against Management

Offer Obligation by China Chang'an

Automobile Group Co., Ltd

10 Approve Authorization of Board to For Against Management

Handle All Related Matters

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CHONGQING CHANGAN AUTOMOBILE CO., LTD.

Ticker: 200625 Security ID: Y1584K100

Meeting Date: MAY 24, 2016 Meeting Type: Annual

Record Date: MAY 17, 2016

Proposal

1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management

Approve 2015 Financial Statements and For For

Mgt Rec Vote Cast Sponsor

Management

2016	Financial	Budget	Report
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5	Approve Profit Distribution	For	For	Management
6	Approve 2016 Daily Related-party	For	For	Management
	Transactions			
7	Approve 2016 Investment Plan	For	For	Management
8	Approve 2016 Financing Plan	For	For	Management
9	Approve Amendments to Articles of	For	For	Management
	Association			
10	Approve Signing of Financial Services	For	Against	Management
	Agreement with Chongqing Auto Finance			
	Co., Ltd.			
11	Amend Management System of	For	For	Management
	Non-routinary Business Hierarchical			
	Authorization			
12	Approve Signing of Financial Services	For	Against	Management
	Agreement with China South Industries			
	Group Finance Co., Ltd,			
13	Approve Development of Trade Financing	For	For	Management
	Through United Prosperity Investment			
	Co., Ltd.			

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CITIC SECURITIES CO., LTD.

Ticker: 600030 Security ID: Y1639N109

Meeting Date: AUG 25, 2015 Meeting Type: Special

Record Date: AUG 18, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.01	Approve Class of Shares to be Issued	For	Against	Management
	in Relation to the Issuance of New H			
	Shares			
1.02	Approve Issue Time in Relation to the	For	Against	Management
	Issuance of New H Shares			
1.03	Approve Issue Method in Relation to	For	Against	Management
	the Issuance of New H Shares			
1.04	Approve Target Subscribers in Relation	For	Against	Management
	to the Issuance of New H Shares			
1.05	Approve Number of Shares to be Issued	For	Against	Management
	in Relation to the Issuance of New H			
	Shares			
1.06	Approve Issue Price in Relation to	For	Against	Management
	the Issuance of New H Shares			
1.07	Approve Subscription Method in	For	Against	Management
	Relation to the Issuance of New H			
	Shares			
1.08	Approve Accumulated Profits in	For	Against	Management
	Relation to the Issuance of New H			
	Shares			
1.09	Approve Use of Proceeds in Relation to	For	Against	Management
	the Issuance of New H Shares			
1.10	Approve Resolution Validity Period in	For	Against	Management
	Relation to the Issuance of New H			
	Shares			

1.11 Authorize Board to Handle Relevant For Against Management

Matters in Relation to Amendments to

the Articles of Association

1.12 Authorize Board to Deal with All For Against Management

Matters in Relation to the Issuance of

New H Shares

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CITIC SECURITIES CO., LTD.

Ticker: 600030 Security ID: Y1639N109

Meeting Date: AUG 25, 2015 Meeting Type: Special

Record Date: AUG 18, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1.01 Approve Class of Shares to be Issued For Against Management

in Relation to the Issuance of New H

Shares

1.02 Approve Issue Time in Relation to the For Against Management

Issuance of New H Shares

1.03 Approve Issue Method in Relation to For Against Management

the Issuance of New H Shares

1.04 Approve Target Subscribers in Relation For Against Management

to the Issuance of New H Shares

1.05 Approve Number of Shares to be Issued For Against Management

in Relation to the Issuance of New H

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	Shares			
1.06	Approve Issue Price in Relation to	For	Against	Management
	the Issuance of New H Shares			
1.07	Approve Subscription Method in	For	Against	Management
	Relation to the Issuance of New H			
	Shares			
1.08	Approve Accumulated Profits in	For	Against	Management
	Relation to the Issuance of New H			
	Shares			
1.09	Approve Use of Proceeds in Relation to	For	Against	Management
	the Issuance of New H Shares			
1.10	Approve Resolution Validity Period in	For	Against	Management
	Relation to the Issuance of New H			
	Shares			
1.11	Authorize Board to Handle Relevant	For	Against	Management
	Matters in Relation to Amendments to			
	the Articles of Association			
1.12	Authorize Board to Deal with All	For	Against	Management

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DAQIN RAILWAY CO., LTD.

New H Shares

Ticker: 601006 Security ID: Y1997H108

Matters in Relation to the Issuance of

Meeting Date: SEP 15, 2015 Meeting Type: Special

Record Date: SEP 08, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Change in Business Scope and For For Management

Amend Articles of Association to

Reflect the Change

2.01 Elect Zhao Chunlei as Non-Independent None For Shareholder

Director

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DAQIN RAILWAY CO., LTD.

Ticker: 601006 Security ID: Y1997H108

Meeting Date: MAR 29, 2016 Meeting Type: Special

Record Date: MAR 23, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1.01 Elect Yang Wandong as Independent For For Management

Director

1.02 Elect Zan Zhihong as Independent For For Management

Director

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DAQIN RAILWAY CO., LTD.

Ticker: 601006 Security ID: Y1997H108

Meeting Date: MAY 25, 2016 Meeting Type: Annual

Record Date: MAY 19, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements and 2016	For	For	Management
	Financial Budget Report			
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Expansion of Business Scope	For	For	Management
	and Amend Articles of Association			
7	Approve Issuance of Short-term	For	For	Management
	Financial Bill			
8	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
9	Approve Re-appointment of Auditor	For	For	Management
10.01	Elect Liu Xingwu as Supervisor	For	For	Management

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DONG-E-E-JIAO CO., LTD.

Ticker: 000423 Security ID: Y7689C109

Meeting Date: MAY 26, 2016 Meeting Type: Annual

Record Date: MAY 20, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Annual Report and Summary	For	For	Management
2	Approve Report of the Board of	For	For	Management
	Directors			
3	Approve Report of the Board of	For	For	Management
	Supervisors			
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Duty Fulfillment Report of the	For	For	Management
	Independent Directors			
7	Approve 2016 Daily Related-party	For	For	Management
	Transactions			
8	Approve Investment in Financial	For	For	Management
	Products			
9.1	Elect Wu Jun as Non-Independent	For	For	Management
	Director			
9.2	Elect Li Guohui as Non-Independent	For	For	Management
	Director			
10	Elect Feng Yi as Supervisor	For	For	Management
11	Approve Appointment of Auditors and	For	For	Management
	Fix the Remuneration			

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GOERTEK INC.

Ticker: 002241 Security ID: Y27360109

Meeting Date: SEP 08, 2015 Meeting Type: Special

Record Date: AUG 31, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Provision of Loan Guarantees For For Management

to Subsidiaries

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HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Security ID: Y3122A107

Meeting Date: DEC 28, 2015 Meeting Type: Special

Record Date: DEC 21, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for	For	For	Management
	Private Placement of New Shares			
2.00	Approve Private Placement of New A	For	For	Management
	Shares			
2.01	Approve Issue Manner	For	For	Management
2.02	Approve Share Type and Par Value	For	For	Management
2.03	Approve Issue Size	For	For	Management

2.04	Approve Target Subscribers	For	For	Management
2.05	Approve Subscription Method	For	For	Management
2.06	Approve Listing Exchange	For	For	Management
2.07	Approve Pricing Reference Date, Issue	For	For	Management
	Price and Pricing Method			
2.08	Approve Intended Usage of Raised Funds	For	For	Management
2.09	Approve Lock-up Period	For	For	Management
2.10	Approve Distribution Arrangement of	For	For	Management
	Undistributed Earnings			
2.11	Approve Resolution Validity Period	For	For	Management
3	Approve Plan on Private Placement of	For	For	Management
	New A Shares			
4	Approve Feasibility Analysis Report on	For	For	Management
	the Intended Usage of Raised Funds			
5	Approve Report on the Usage of	For	For	Management
	Previously Raised Funds			
6	Approve Related Party Transactions in	For	For	Management
	Connection to Private Placement			
7	Approve Signing of Conditional Equity	For	For	Management
	Transfer Agreement with Pinggao Group			
	Co., Ltd.			
8	Approve Signing of Conditional Equity	For	For	Management
	Transfer Agreement with Shanghai			
	Tianling Switch Factory Co., Ltd.			
9	Approve Profit Forecast Compensation	For	For	Management
	Agreement with Pinggao Group Co., Ltd.			
10	Approve Authorization of Board to	For	For	Management
	Handle All Matters Related to Private			

	Placement			
11	Approve Counter-dilution Measures in	For	For	Management
	Connection to the Private Placement			
12	Approve Establishment of Subsidiary in	For	For	Management
	India			
13	Approve Signing of Conditional Capital	For	For	Management
	Increase Agreement with Shanghai			
	Jiajia (Group) Co., Ltd.			
14	Approve Amendments to Articles of	For	For	Management
	Association			
15	Approve Shareholder Return Plan in the	For	For	Management
	Next Three Years (2015-2017)			
16	Approve Audit Report, Pro Forma Audit	For	For	Management
	Report, Evaluation Report and Profit			
	Forecast Report			
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Approve Independence of Appraiser, the For For Management

HENAN PINGGAO ELECTRIC CO., LTD.

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Ticker: 600312 Security ID: Y3122A107

Meeting Date: MAR 18, 2016 Meeting Type: Annual

Validity of Hypothesis and Conclusion

and the Relevance of Valuation Approach

Record Date: MAR 14, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2015 Report of the Board of	For	For	Management
	Directors			
2	Approve 2015 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2015 Financial Statements	For	For	Management
4	Approve 2016 Financial Budget Report	For	For	Management
5	Approve 2015 Profit Distribution	For	For	Management
6	Approve 2015 Daily Related-party	For	For	Management
	Transactions and Approve 2016 Daily			
	Related-party Transactions			
7	Approve 2015 Annual Report and Summary	For	For	Management
8	Approve Re-appointment of 2016 Auditor	For	For	Management
	and Internal Control Auditor			
9	Approve Change in Provision for Bad	For	For	Management
	Debts			
10	Approve Application for Comprehensive	For	For	Management
	Credit Lines from Bank			
11	Approve 2015 Report of the Independent	For	For	Management
	Directors			

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HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Security ID: Y3122A107

Meeting Date: MAR 24, 2016 Meeting Type: Special

Record Date: MAR 21, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for	For	For	Management
	Private Placement of New Shares			
2.00	Approve Adjustment to Private	For	For	Management
	Placement of New A Shares			
2.01	Approve Issue Size	For	For	Management
2.02	Approve Pricing Reference Date, Issue	For	For	Management
	Price and Pricing Method			
2.03	Approve Resolution Validity Period	For	For	Management
3	Approve Adjustment to Plan on Private	For	For	Management
	Placement of New A Shares			
4	Approve Adjustment to Feasibility	For	For	Management
	Analysis Report on the Intended Usage			
	of Raised Funds			
5	Approve Counter-dilution Measures in	For	For	Management
	Connection to the Private Placement			
6	Approve Commitments of Directors and	For	For	Management
	Senior Management in Connection to the			
	Counter-dilution Measures of the			
	Private Placement			
7	Approve Commitment of Controlling	For	For	Management
	Shareholder in Connection to the			
	Counter-dilution Measures of the			
	Private Placement			
8	Approve Authorization of Board to	For	For	Management
	Handle All Matters Related to Private			

Placement

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HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Security ID: Y3122A107

Meeting Date: APR 29, 2016 Meeting Type: Special

Record Date: APR 25, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Ren Weili as Non-Independent	For	For	Shareholder
	Director			
2	Approve Issuance of Ultra Short-term	For	For	Management
	Financial Bill			
3	Approve Appointment of Main	For	For	Management
	Underwriter in Connection to the			
	Issuance of Ultra Short-term Financial			
	Bill			
4	Approve Expansion of Business Scope	For	For	Management
	and Amend Articles of Association			

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HUALAN BIOLOGICAL ENGINEERING, INC.

Ticker: 002007 Security ID: Y3737H125

Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: APR 20, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2015 Report of the Board of	For	For	Management
	Directors			
2	Approve 2015 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2015 Annual Report and Summary	For	For	Management
4	Approve 2015 Financial Statements	For	For	Management
5	Approve 2015 Profit Distribution	For	For	Management
6	Approve Use of Own Funds to Invest in	For	Against	Management
	Financial Products			
7	Approve Appointment of 2016 Auditor	For	For	Management
8	Approve Guarantee Provision to	For	For	Management
	Associate Company			
9.1.1	Elect An Kang as Non-independent	None	For	Shareholder
	Director			
9.1.2	Elect Fan Bei as Non-independent	None	For	Shareholder
	Director			
9.1.3	Elect Wang Qiping as Non-independent	None	For	Shareholder
	Director			
9.1.4	Elect An Ying as Non-independent	None	For	Shareholder
	Director			
9.2.1	Elect Su Zhiguo as Independent Director	None	For	Shareholder
9.2.2	Elect Zhang Jingang as Independent	None	Against	Shareholder
	Director			

9.2.3 Elect Tian Lijun as Independent None For Shareholder

Director

10.1 Elect Hao Changmei as Supervisor None For Shareholder

10.2 Elect Zhang Zhaofei as Supervisor None For Shareholder

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HUATAI SECURITIES CO., LTD.

Ticker: 601688 Security ID: Y37426106

Meeting Date: MAR 18, 2016 Meeting Type: Special

Record Date: MAR 10, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1.01 Elect Chen Chuanming as Director For For Management

1.02 Elect Yang Xiongsheng as Director For For Management

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INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

Ticker: 1398 Security ID: Y3990B104

Meeting Date: DEC 21, 2015 Meeting Type: Special

Record Date: NOV 20, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1	Elect Hong Yongmiao as Director	For	For	Management
2	Elect Yang Siu Shun as Director	For	For	Management
3	Elect Qu Qiang as Supervisor	For	For	Management
4	Amend Plan on Authorization of the	For	For	Management
	Shareholders' General Meeting to the			
	Board of Directors			
5	Approve Payment Plan of Remuneration	For	For	Management
	to Directors and Supervisors for 2014			

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#### INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

Ticker: 1398 Security ID: Y3990B104

Meeting Date: JUN 24, 2016 Meeting Type: Annual

Record Date: MAY 24, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2015 Work Report of the Board	For	For	Management
	of Directors			
2	Approve 2015 Work Report of the Board	For	For	Management
	of Supervisors			
3	Elect Yi Huiman as Director	For	For	Management
4	Elect Shen Si as Director	For	For	Management
5	Elect Zhang Wei as Supervisor	For	For	Management
6	Elect Shen Bingxi as Supervisor	For	For	Management
7	Approve 2015 Audited Accounts	For	For	Management

Approve 2015 Profit Distribution Plan	For	For	Management
Approve 2016 Fixed Asset Investment	For	For	Management
Budget			
Approve Proposal in Respect of the	For	For	Management
Issue of Eligible Tier-2 Capital			
Instruments with Write- Down Feature			
of up to 88 Billion			
Approve KPMG Huazhen (Special General	For	For	Management
Partnership) as the Domestic External			
Auditor of the Bank and KPMG as the			
International External Auditor			
	Approve 2016 Fixed Asset Investment  Budget  Approve Proposal in Respect of the  Issue of Eligible Tier-2 Capital  Instruments with Write- Down Feature  of up to 88 Billion  Approve KPMG Huazhen (Special General  Partnership) as the Domestic External  Auditor of the Bank and KPMG as the	Approve 2016 Fixed Asset Investment For Budget  Approve Proposal in Respect of the For Issue of Eligible Tier-2 Capital Instruments with Write- Down Feature of up to 88 Billion  Approve KPMG Huazhen (Special General For Partnership) as the Domestic External Auditor of the Bank and KPMG as the	Approve 2016 Fixed Asset Investment For For  Budget  Approve Proposal in Respect of the For For  Issue of Eligible Tier-2 Capital  Instruments with Write- Down Feature  of up to 88 Billion  Approve KPMG Huazhen (Special General For For  Partnership) as the Domestic External  Auditor of the Bank and KPMG as the

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INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

Ticker: 600887 Security ID: Y40847108

Meeting Date: JUL 27, 2015 Meeting Type: Special

Record Date: JUL 17, 2015

# Proposal Mgt Rec Vote Cast Sponsor

1.01 Approve Manner of Share Repurchase and For For Management the Usage of the Shares to Be
Repurchased

1.02 Approve Price or Price Range and For For Management Pricing Principle of the Share
Repurchase

1.03	Approve Type, Number and Proportion of	For	For	Management
	the Share Repurchase			
1.04	Approve Total Capital and Capital	For	For	Management
	Source Used for the Share Repurchase			
1.05	Approve Period of the Share Repurchase	For	For	Management
1.06	Approve Resolution Validity Period	For	For	Management
2	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			

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INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

Ticker: 600887 Security ID: Y40847108

Meeting Date: FEB 03, 2016 Meeting Type: Special

Record Date: JAN 26, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Acquisition of Certain Equity For For Management

in Yogurt Holding (Cayman) Ltd by

Investment Entities formed by Partial

Employees

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INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

Ticker: 600887 Security ID: Y40847108

Meeting Date: APR 21, 2016 Meeting Type: Annual

Record Date: APR 13, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2015 Annual Report and Summary	For	For	Management
2	Approve 2015 Report of the Board of	For	For	Management
	Directors			
3	Approve 2015 Report of the Board of	For	For	Management
	Supervisors			
4	Approve 2016 Operation Plan and	For	For	Management
	Investment Plan			
5	Approve 2015 Financial Statements and	For	For	Management
	2016 Financial Budget Report			
6	Approve 2015 Profit Distribution	For	For	Management
7	Approve 2015 Report of the Independent	For	For	Management
	Directors			
8	Approve Authorization by the Board to	For	For	Management
	Subsidiaries Under Guarantee for the			
	2016 Guarantee Provision to Industry			
	Chain Partners as well as Information			
	Disclosure			
9	Amend Articles of Association	For	For	Management
10	Amend Rules and Procedures Regarding	For	For	Management
	General Meetings of Shareholders			
11	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Directors			

Amend Rules and Procedures Regarding For For Management
Meetings of Board of Supervisors

Approve Appointment of Da Hua CPAs as For For Management

2016 Financial and Internal Control

Auditor as well as Fixing Its

Remuneration

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JIANGSU EXPRESSWAY CO. LTD.

Ticker: 177 Security ID: Y4443L111

Meeting Date: FEB 25, 2016 Meeting Type: Special

Record Date: JAN 25, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Chang Qing as Director	For	For	Management
2	Elect Shang Hong as Director	For	For	Management
3	Elect Chen Xianghui as Supervisor	For	For	Management
4	Elect Pan Ye as Supervisor	For	For	Management
5	Elect Ren Zhou Hua as Supervisor	For	For	Management

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JIANGSU EXPRESSWAY CO. LTD.

Ticker: 177 Security ID: Y4443L111

Meeting Date: JUN 02, 2016 Meeting Type: Annual

Record Date: MAY 03, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2015 Report of the Board of	For	For	Management
	Directors			
2	Approve 2015 Report of the Supervisory	For	For	Management
	Committee			
3	Approve 2015 Audit Report	For	For	Management
4	Approve 2015 Final Account Report	For	For	Management
5	Approve 2016 Financial Budget Report	For	For	Management
6	Approve 2015 Final Profit Distribution	For	For	Management
	Plan and Final Dividend			
7	Approve Deloitte Touche Tohmatsu	For	For	Management
	Certified Public Accountants LLP as			
	Auditors and Authorize Board to Fix			
	Their Remuneration			
8	Approve Deloitte Touche Tohmatsu	For	For	Management
	Certified Public Accountants LLP as			
	Internal Control Auditor and Authorize			
	Board to Fix Their Remuneration			
9	Approve Issuance of Ultra-short-term	For	For	Management
	Financial Bills			
10	Elect Lin Hui as Director	For	For	Management

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#### KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108

Meeting Date: APR 19, 2016 Meeting Type: Annual

Record Date: APR 13, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2015 Report of the Board of	For	For	Management
	Directors			
2	Approve 2015 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2015 Financial Statements	For	For	Management
4	Approve 2015 Annual Report and Summary	For	For	Management
5	Approve 2015 Profit Distribution	For	For	Management
6	Approve 2015 Report on the Deposit and	For	For	Management
	Usage of Raised Funds			
7	Approve 2015 Internal Control	For	For	Management
	Self-Evaluation Report			
8	Approve Re-appointment of Auditor	For	For	Management
9	Approve Application of Bank Credit	For	For	Management
	Lines &#</td><td></td><td></td><td></td></tr></tbody></table>			