## Edgar Filing: PLUG POWER INC - Form 4

| PLUG POWER I   | NC                             |  |                |  |                  |  |  |  |                       |         |  |
|--|--------------------------------|--|----------------|--|------------------|--|--|--|-----------------------|---------|--|
| Form 4<br>May 20, 2016   |                                |  |                |  |                  |  |  |  |                       |         |  |
| <b>FORM 4</b>  |                                |  |                |  |                  |  |  |  | PPROVA                | L       |  |
|  | UNITED                         | STATES   |                | RITIES A<br>Ashington  |                  |  | COMMISSIO  | N OMB<br>Number:   | 3235-                 | 0287    |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5 |                                | CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>tion 16(a) of the Securities Exchange Act of 1934, |                |  |                  |  | Januar<br>average<br>ırs per   | y 31,<br>2005<br>0.5   |                       |         |  |
| obligations<br>may continue.<br>See Instruction<br>1(b).                           |                                |  |                |  |                  |  |  |  |                       |         |  |
| (Print or Type Respon  | nses)                          |  |                |  |                  |  |  |  |                       |         |  |
| Kenausis Gregory S   |                                |  |                | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>PLUG POWER INC [PLUG] |                  |  | 5. Relationship of Reporting Person(s) to Issuer   |  |                       |         |  |
|  |                                |  |                |  | •                | 00]  | (Check all applicable)   |  |                       |         |  |
|  |                                |  |                | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>05/18/2016              |                  |  | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)                                       |  |                       |         |  |
| (Street) 4. If Amendment, Da<br>Filed(Month/Day/Year)                              |                                |  |                | -  | 1                | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |                       |         |  |
| (City) (   | (State)                        | (Zip)  | Tab            | ole I - Non-l  | Derivative       | Securities A   | cquired, Disposed  | of, or Beneficia   | lly Owned             | 1       |  |
|  | ansaction Date<br>th/Day/Year) | 2A. Deeme<br>Execution<br>any<br>(Month/Da   | ed<br>Date, if | 3.<br>Transactio<br>Code<br>(Instr. 8)   | 4. Securit       | ies<br>(A) or<br>of (D)<br>4 and 5)<br>(A)<br>or   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>Indirect | of<br>l |  |
| Reminder: Report on  | a separate line                | for each cla   | ass of sec     | urities bene   | ficially ow      | ned directly of  | or indirectly.   |  |                       |         |  |
|  |                                |  |                |  | inforn<br>requir | nation cont<br>red to response<br>ays a current  | spond to the colle<br>ained in this form<br>ond unless the fo<br>ntly valid OMB co                                 | n are not<br>rm  | SEC 1474<br>(9-02)    |         |  |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti | orDerivative | Expiration Date         | Underlying Securities  |
| Security    | or Exercise |                     | any                | Code      | Securities   | (Month/Day/Year)        | (Instr. 3 and 4)       |

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| (Instr. 3)            | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr.      |   | Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     |                     |                    |                 |                                     |
|-----------------------|------------------------------------|------------|------------------|--------------|---|--|-----|---------------------|--------------------|-----------------|-------------------------------------|
|                       |                                    |            |                  | Code         | V | (A)  | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Option to<br>Purchase | \$ 1.76                            | 05/18/2016 |                  | A <u>(1)</u> |   | 30,000   |     | 05/18/2017          | 05/18/2026         | Common<br>Stock | 30,000                              |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| 1   | Director      | 10% Owner | Officer | Other |  |  |  |
| Kenausis Gregory<br>C/O PLUG POWER INC.<br>968 ALBANY SHAKER RD<br>LATHAM, NY 12110 | Х             |           |         |       |  |  |  |
| Signatures  |               |           |         |       |  |  |  |
| /s/ Gerard L. Conway, Jr.,<br>Attorney-in-Fact                                      |               | 05/2      | 20/2016 |       |  |  |  |
|   |               |           |         |       |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded pursuant to the Issuer's 2011 Non-Employee Director Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.