

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B

HERZFELD CARIBBEAN BASIN FUND INC

Form NSAR-B

September 05, 2003

PAGE 1  
000 B000000 06/30/2003  
000 C000000 0000880406  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 U  
001 A000000 THE HERZFELD CARIBBEAN BASIN FUND INC.  
001 B000000 811-6445  
001 C000000 3052711900  
002 A000000 PO BOX 161465  
002 B000000 MIAMI  
002 C000000 FL  
002 D010000 33116  
002 D020000 1465  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 HERZFELD/CUBA  
008 B000001 A  
008 C000001 801-20866  
008 D010001 MIAMI  
008 D020001 FL  
008 D030001 33116  
011 A000001 THOMAS J. HERZFELD & CO., INC.  
011 B000001 8-25933  
011 C010001 MIAMI  
011 C020001 FL  
011 C030001 33116  
012 A000001 INVESTORS BANK AND TRUST COMPANY  
012 B000001 84-XXXX  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02111  
013 A000001 KAUFMAN, ROSSIN & CO., P.A.  
013 B010001 MIAMI

PAGE 2  
013 B020001 FL  
013 B030001 33133  
013 B040001 5486  
014 A000001 THOMAS J. HERZFELD & CO., INC.  
014 B000001 8-25933  
015 A000001 INVESTORS BANK AND TRUST COMPANY

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|     |         |                                |  |
|-----|---------|--------------------------------|--|
| 015 | B000001 | C                              |  |
| 015 | C010001 | BOSTON                         |  |
| 015 | C020001 | MA                             |  |
| 015 | C030001 | 02111                          |  |
| 015 | E010001 | X                              |  |
| 018 | 000000  | Y                              |  |
| 019 | A000000 | N                              |  |
| 019 | B000000 | 0                              |  |
| 020 | A000001 | THOMAS J. HERZFELD & CO., INC. |  |
| 020 | B000001 | 59-2053807                     |  |
| 020 | C000001 | 1                              |  |
| 020 | A000002 | UBS PAINE WEBBER               |  |
| 020 | B000002 | 13-2638166                     |  |
| 020 | C000002 | 2                              |  |
| 020 | C000003 | 0                              |  |
| 020 | C000004 | 0                              |  |
| 020 | C000005 | 0                              |  |
| 020 | C000006 | 0                              |  |
| 020 | C000007 | 0                              |  |
| 020 | C000008 | 0                              |  |
| 020 | C000009 | 0                              |  |
| 020 | C000010 | 0                              |  |
| 021 | 000000  | 3                              |  |
| 022 | C000001 | 0                              |  |
| 022 | D000001 | 0                              |  |
| 022 | C000002 | 0                              |  |
| 022 | D000002 | 0                              |  |
| 022 | C000003 | 0                              |  |
| 022 | D000003 | 0                              |  |
| 022 | C000004 | 0                              |  |
| 022 | D000004 | 0                              |  |
| 022 | C000005 | 0                              |  |
| 022 | D000005 | 0                              |  |
| 022 | C000006 | 0                              |  |
| 022 | D000006 | 0                              |  |
| 022 | C000007 | 0                              |  |
| 022 | D000007 | 0                              |  |
| 022 | C000008 | 0                              |  |
| 022 | D000008 | 0                              |  |
| 022 | C000009 | 0                              |  |
| 022 | D000009 | 0                              |  |
| 022 | C000010 | 0                              |  |
| 022 | D000010 | 0                              |  |
| 023 | C000000 | 0                              |  |
| 023 | D000000 | 0                              |  |
|     | PAGE    | 3                              |  |
| 024 | 000000  | N                              |  |
| 025 | D000001 | 0                              |  |
| 025 | D000002 | 0                              |  |
| 025 | D000003 | 0                              |  |
| 025 | D000004 | 0                              |  |
| 025 | D000005 | 0                              |  |
| 025 | D000006 | 0                              |  |
| 025 | D000007 | 0                              |  |
| 025 | D000008 | 0                              |  |
| 026 | A000000 | N                              |  |
| 026 | B000000 | Y                              |  |
| 026 | C000000 | N                              |  |
| 026 | D000000 | Y                              |  |
| 026 | E000000 | N                              |  |
| 026 | F000000 | Y                              |  |
| 026 | G010000 | N                              |  |

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|     |         |       |   |
|-----|---------|-------|---|
| 026 | G020000 | N     |   |
| 026 | H000000 | N     |   |
| 027 | 000000  | N     |   |
| 028 | A010000 |       | 0 |
| 028 | A020000 |       | 0 |
| 028 | A030000 |       | 0 |
| 028 | A040000 |       | 0 |
| 028 | B010000 |       | 0 |
| 028 | B020000 |       | 0 |
| 028 | B030000 |       | 0 |
| 028 | B040000 |       | 0 |
| 028 | C010000 |       | 0 |
| 028 | C020000 |       | 0 |
| 028 | C030000 |       | 0 |
| 028 | C040000 |       | 0 |
| 028 | D010000 |       | 0 |
| 028 | D020000 |       | 0 |
| 028 | D030000 |       | 0 |
| 028 | D040000 |       | 0 |
| 028 | E010000 |       | 0 |
| 028 | E020000 |       | 0 |
| 028 | E030000 |       | 0 |
| 028 | E040000 |       | 0 |
| 028 | F010000 |       | 0 |
| 028 | F020000 |       | 0 |
| 028 | F030000 |       | 0 |
| 028 | F040000 |       | 0 |
| 028 | G010000 |       | 0 |
| 028 | G020000 |       | 0 |
| 028 | G030000 |       | 0 |
| 028 | G040000 |       | 0 |
| 028 | H000000 |       | 0 |
| 030 | A000000 |       | 0 |
| 030 | B000000 | 0.00  |   |
| 030 | C000000 | 0.00  |   |
|     | PAGE    | 4     |   |
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| 031 | B000000 |       | 0 |
| 032 | 000000  |       | 0 |
| 033 | 000000  |       | 0 |
| 035 | 000000  |       | 0 |
| 036 | B000000 |       | 0 |
| 038 | 000000  |       | 0 |
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| 042 | B000000 | 0     |   |
| 042 | C000000 | 0     |   |
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| 042 | E000000 | 0     |   |
| 042 | F000000 | 0     |   |
| 042 | G000000 | 0     |   |
| 042 | H000000 | 0     |   |
| 043 | 000000  | 0     |   |
| 044 | 000000  | 0     |   |
| 045 | 000000  | Y     |   |
| 046 | 000000  | N     |   |
| 047 | 000000  | Y     |   |
| 048 | 000000  | 1.450 |   |
| 048 | A010000 |       | 0 |
| 048 | A020000 | 0.000 |   |
| 048 | B010000 |       | 0 |
| 048 | B020000 | 0.000 |   |
| 048 | C010000 |       | 0 |

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|     |         |       |   |
|-----|---------|-------|---|
| 048 | C020000 | 0.000 |   |
| 048 | D010000 |       | 0 |
| 048 | D020000 | 0.000 |   |
| 048 | E010000 |       | 0 |
| 048 | E020000 | 0.000 |   |
| 048 | F010000 |       | 0 |
| 048 | F020000 | 0.000 |   |
| 048 | G010000 |       | 0 |
| 048 | G020000 | 0.000 |   |
| 048 | H010000 |       | 0 |
| 048 | H020000 | 0.000 |   |
| 048 | I010000 |       | 0 |
| 048 | I020000 | 0.000 |   |
| 048 | J010000 |       | 0 |
| 048 | J020000 | 0.000 |   |
| 048 | K010000 |       | 0 |
| 048 | K020000 | 0.000 |   |
| 049 | 000000  | N     |   |
| 050 | 000000  | N     |   |
| 051 | 000000  | N     |   |
| 052 | 000000  | N     |   |
| 053 | A000000 | N     |   |
| 054 | A000000 | Y     |   |
| 054 | B000000 | Y     |   |
| 054 | C000000 | N     |   |
|     | PAGE    | 5     |   |
| 054 | D000000 | N     |   |
| 054 | E000000 | N     |   |
| 054 | F000000 | N     |   |
| 054 | G000000 | N     |   |
| 054 | H000000 | Y     |   |
| 054 | I000000 | N     |   |
| 054 | J000000 | Y     |   |
| 054 | K000000 | N     |   |
| 054 | L000000 | N     |   |
| 054 | M000000 | Y     |   |
| 054 | N000000 | N     |   |
| 054 | O000000 | N     |   |
| 055 | A000000 | N     |   |
| 055 | B000000 | N     |   |
| 056 | 000000  | Y     |   |
| 057 | 000000  | N     |   |
| 058 | A000000 | N     |   |
| 059 | 000000  | Y     |   |
| 060 | A000000 | N     |   |
| 060 | B000000 | N     |   |
| 061 | 000000  |       | 0 |
| 062 | A000000 | N     |   |
| 062 | B000000 | 0.0   |   |
| 062 | C000000 | 0.0   |   |
| 062 | D000000 | 0.0   |   |
| 062 | E000000 | 0.0   |   |
| 062 | F000000 | 0.0   |   |
| 062 | G000000 | 0.0   |   |
| 062 | H000000 | 0.0   |   |
| 062 | I000000 | 0.0   |   |
| 062 | J000000 | 0.0   |   |
| 062 | K000000 | 0.0   |   |
| 062 | L000000 | 0.0   |   |
| 062 | M000000 | 0.0   |   |
| 062 | N000000 | 0.0   |   |
| 062 | O000000 | 0.0   |   |

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|     |         |      |
|-----|---------|------|
| 062 | P000000 | 0.0  |
| 062 | Q000000 | 0.0  |
| 062 | R000000 | 0.0  |
| 063 | A000000 | 0    |
| 063 | B000000 | 0.0  |
| 066 | A000000 | Y    |
| 066 | B000000 | N    |
| 066 | C000000 | Y    |
| 066 | D000000 | N    |
| 066 | E000000 | N    |
| 066 | F000000 | N    |
| 066 | G000000 | N    |
| 067 | 000000  | N    |
| 068 | A000000 | N    |
| 068 | B000000 | Y    |
|     | PAGE    | 6    |
| 069 | 000000  | N    |
| 070 | A010000 | Y    |
| 070 | A020000 | N    |
| 070 | B010000 | Y    |
| 070 | B020000 | N    |
| 070 | C010000 | N    |
| 070 | C020000 | N    |
| 070 | D010000 | Y    |
| 070 | D020000 | N    |
| 070 | E010000 | Y    |
| 070 | E020000 | N    |
| 070 | F010000 | Y    |
| 070 | F020000 | N    |
| 070 | G010000 | Y    |
| 070 | G020000 | N    |
| 070 | H010000 | Y    |
| 070 | H020000 | N    |
| 070 | I010000 | Y    |
| 070 | I020000 | N    |
| 070 | J010000 | Y    |
| 070 | J020000 | N    |
| 070 | K010000 | Y    |
| 070 | K020000 | Y    |
| 070 | L010000 | Y    |
| 070 | L020000 | Y    |
| 070 | M010000 | Y    |
| 070 | M020000 | N    |
| 070 | N010000 | Y    |
| 070 | N020000 | N    |
| 070 | O010000 | Y    |
| 070 | O020000 | N    |
| 070 | P010000 | N    |
| 070 | P020000 | N    |
| 070 | Q010000 | N    |
| 070 | Q020000 | N    |
| 070 | R010000 | N    |
| 070 | R020000 | N    |
| 071 | A000000 | 191  |
| 071 | B000000 | 889  |
| 071 | C000000 | 5869 |
| 071 | D000000 | 3    |
| 072 | A000000 | 12   |
| 072 | B000000 | 0    |
| 072 | C000000 | 77   |
| 072 | D000000 | 0    |
| 072 | E000000 | 0    |

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|             |    |
|-------------|----|
| 072 F000000 | 85 |
| 072 G000000 | 0  |
| 072 H000000 | 0  |
| 072 I000000 | 0  |
| 072 J000000 | 54 |

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|             |        |
|-------------|--------|
| 072 K000000 | 6      |
| 072 L000000 | 8      |
| 072 M000000 | 8      |
| 072 N000000 | 15     |
| 072 O000000 | 0      |
| 072 P000000 | 0      |
| 072 Q000000 | 0      |
| 072 R000000 | 23     |
| 072 S000000 | 11     |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 53     |
| 072 X000000 | 263    |
| 072 Y000000 | 0      |
| 072 Z000000 | -186   |
| 072AA000000 | 0      |
| 072BB000000 | 964    |
| 072CC010000 | 1338   |
| 072CC020000 | 0      |
| 072DD010000 | 0      |
| 072DD020000 | 0      |
| 072EE000000 | 130    |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0775 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 342    |
| 074 B000000 | 0      |
| 074 C000000 | 0      |
| 074 D000000 | 0      |
| 074 E000000 | 0      |
| 074 F000000 | 6291   |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 0      |
| 074 J000000 | 0      |
| 074 K000000 | 0      |
| 074 L000000 | 8      |
| 074 M000000 | 41     |
| 074 N000000 | 6682   |
| 074 O000000 | 0      |
| 074 P000000 | 23     |
| 074 Q000000 | 0      |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 0      |
| 074 R040000 | 33     |
| 074 S000000 | 0      |
| 074 T000000 | 6626   |
| 074 U010000 | 1678   |

PAGE 8

|             |        |
|-------------|--------|
| 074 U020000 | 0      |
| 074 V010000 | 3.95   |
| 074 V020000 | 0.00   |
| 074 W000000 | 0.0000 |

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|           |                     |                              |
|-----------|---------------------|------------------------------|
| 074       | X000000             | 1900                         |
| 074       | Y000000             | 0                            |
| 075       | A000000             | 0                            |
| 075       | B000000             | 5901                         |
| 076       | 000000              | 3.49                         |
| 077       | A000000             | Y                            |
| 077       | B000000             | Y                            |
| 078       | 000000              | N                            |
| 080       | A000000             | ICI MUTUAL INSURANCE COMPANY |
| 080       | C000000             | 175                          |
| 081       | A000000             | N                            |
| 081       | B000000             | 0                            |
| 082       | A000000             | N                            |
| 082       | B000000             | 0                            |
| 083       | A000000             | N                            |
| 083       | B000000             | 0                            |
| 084       | A000000             | N                            |
| 084       | B000000             | 0                            |
| 085       | A000000             | Y                            |
| 085       | B000000             | N                            |
| SIGNATURE | CECILIA GONDOR      |                              |
| TITLE     | SECRETARY/TREASURER |                              |