TIVO INC Form 4 April 02, 2015

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

Expires: January 31, 2005

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

04/01/2015

04/01/2015

(Print or Type Responses)

1. Name and Address of Reporting Person * Phillips Charles			C	Issuer				
(First) (1	Middle) 3. Date	of Earliest T	ransaction	(Clicc	(Check all applicable)			
O STREET	(Month/	Day/Year)		DirectorX Officer (give below)		Owner er (specify		
(Street)			ate Original	6. Individual or Joint/Group Filing(Check				
	(7in)	·	,	Form filed by N Person	More than One Re	porting		
(State)	(Zip) Tal	ole I - Non-I	Derivative Securities A	cquired, Disposed of	f, or Beneficial	ly Owned		
	Execution Date, if any	Code (Instr. 8)	or(A) or Disposed of (D (Instr. 3, 4 and 5) (A) or	O) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	(First) (ID) STREET (Street) CA 95002 (State)	(First) (Middle) 3. Date of (Month/O STREET 04/01/2  (Street) 4. If Am Filed(Month/O STREET (State) (Zip) Take 2. Transaction Date (Month/Day/Year) Execution Date, if any	Symbol TIVO INC [TIVO  (First) (Middle) 3. Date of Earliest To  (Month/Day/Year)  O STREET 04/01/2015  (Street) 4. If Amendment, Dat Filed(Month/Day/Year)  CA 95002  (State) (Zip) Table I - Non-I  2. Transaction Date 2A. Deemed 3.  (Month/Day/Year) Execution Date, if Transaction any Code  (Month/Day/Year) (Instr. 8)	TIVO INC [TIVO]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  O STREET 04/01/2015  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  CA 95002  (State) (Zip) Table I - Non-Derivative Securities Acquired (Month/Day/Year)  2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (Day Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)	TIVO INC [TIVO]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director	TIVO INC [TIVO]  (Check all applicable (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% O STREET		

Code V

 $F^{(1)}$ 

 $F^{(1)}$ 

Amount

11,132 D

14,089 D

(D)

Price

10.65

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

285,149

271,060

D

D

#### Edgar Filing: TIVO INC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title Nur	Number		
						Excicisable	Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

Phillips Charles

2160 GOLD STREET COO

**ALVISO, CA 95002** 

## **Signatures**

By: Attorney-in-Fact Jacob Starkweathe For: C. Dan **Phillips** 

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares have been surrendered to the Company to pay taxes applicable to the vesting of restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

04/02/2015

Reporting Owners 2