

Edgar Filing: Fortress Investment Group LLC - Form 13F-HR

Fortress Investment Group LLC  
Form 13F-HR  
November 16, 2009

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: September 30, 2009

Check here if Amendment [ ]; Amendment Number:  
This Amendment (Check only one.): [ ] is a restatement.  
[ ] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Fortress Investment Group LLC  
Address: 1345 Avenue of the Americas, 46th Flr.  
New York, NY 10105

13F File Number: 28-12421

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: David Brooks  
Title: Secretary, VP and General Counsel  
Phone: 212-798-6100

Signature, Place, and Date of Signing:

/s/ David Brooks New York, NY November 16, 2009

Report Type (Check only one.):

[ X] 13F HOLDINGS REPORT.  
[ ] 13F NOTICE.  
[ ] 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 8  
Form13F Information Table Entry Total: 113  
Form13F Information Table Value Total: \$1,627,928 (thousands)  
List of Other Included Managers:

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Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

| No. | 13F File Number | Name  |
|-----|-----------------|---|
| 1   | 28-12421        | Fortress Investment Group LLC                 |
| 2   | 28-12417        | FIG Corp                                      |
| 3   | 28-12418        | Fortress Operating Entity I LP                |
| 4   | 28-10806        | FIG LLC                                       |
| 5   | 28-10807        | Drawbridge Global Macro Advisors LLC          |
| 6   | 28-11237        | Drawbridge Special Opportunities Advisors LLC |
| 7   | 28-10162        | FIG Advisors LLC                              |
| 8   | 28-13249        | Fortress Commodities Advisors LLC             |
| 9   | 28-13498        | Fortress Macro Advisors LLC                   |

### FORM 13F INFORMATION TABLE

| NAME OF ISSUER               | TITLE OF CLASS   | CUSIP     | VALUE<br>(x\$1000) | SHARES/<br>PRN | SH/<br>PRN | PUT/<br>CALL | INVSMT<br>DSCRETN | OTH<br>MANA |
|------------------------------|------------------|-----------|--------------------|----------------|------------|--------------|-------------------|-------------|
| AIRCASTLE LTD                | COM              | G0129K104 | 2764               | 285875         | SH         |              | DEFINED           | 1 2         |
| AIRCASTLE LTD                | COM              | G0129K104 | 210323             | 21750002       | SH         |              | DEFINED           | 1 2         |
| AIRCASTLE LTD                | COM              | G0129K104 | 35054              | 3625000        | SH         |              | DEFINED           | 1 2         |
| AIRCASTLE LTD                | COM              | G0129K104 | 37712              | 3900000        | SH         |              | DEFINED           | 1 2         |
| ALCOA INC                    | COM              | 013817101 | 853                | 65000          | SH         |              | DEFINED           | 1 2         |
| ANGLOGOLD ASHANTI LTD        | SPONSORED ADR    | 035128206 | 4253               | 104334         | SH         |              | DEFINED           | 1 2         |
| AVIS BUDGET GROUP            | COM              | 053774105 | 1336               | 100000         | SH         | PUT          | DEFINED           | 1 2         |
| AVON PRODS INC               | COM              | 054303102 | 968                | 28500          | SH         | PUT          | DEFINED           | 1 2         |
| BANK OF AMERICA CORPORATION  | COM              | 060505104 | 8750               | 500000         | SH         | CALL         | DEFINED           | 1 2         |
| BARRICK GOLD CORP            | COM              | 067901108 | 1690               | 44600          | SH         |              | DEFINED           | 1 2         |
| BARRICK GOLD CORP            | COM              | 067901108 | 6269               | 165400         | SH         |              | DEFINED           | 1 2         |
| BARRICK GOLD CORP            | COM              | 067901108 | 17055              | 450000         | SH         | CALL         | DEFINED           | 1 2         |
| BJS WHOLESALE CLUB INC       | COM              | 05548J106 | 6969               | 192400         | SH         |              | DEFINED           | 1 2         |
| BOYD GAMING CORP             | COM              | 103304101 | 1854               | 156200         | SH         | PUT          | DEFINED           | 1 2         |
| BROOKDALE SR LIVING INC      | COM              | 112463104 | 937487             | 51709177       | SH         |              | DEFINED           | 1 2         |
| BROOKDALE SR LIVING INC      | COM              | 112463104 | 2267               | 125000         | SH         |              | DEFINED           | 1 2         |
| BROOKDALE SR LIVING INC      | COM              | 112463104 | 14981              | 826292         | SH         |              | DEFINED           | 1 2         |
| BRUNSWICK CORP               | COM              | 117043109 | 149                | 12412          | SH         |              | DEFINED           | 1 2         |
| BRUNSWICK CORP               | COM              | 117043109 | 15973              | 1333300        | SH         | PUT          | DEFINED           | 1 2         |
| C H ROBINSON WORLDWIDE INC   | COM NEW          | 12541W209 | 982                | 17000          | SH         | PUT          | DEFINED           | 1 2         |
| CAPITOL ACQUISITION CORP DEL | *W EXP 11/08/201 | 14055E112 | 450                | 750000         | SH         |              | DEFINED           | 1 2         |
| CARNIVAL CORP                | PAIRED CTF       | 143658300 | 666                | 20000          | SH         | CALL         | DEFINED           | 1 2         |
| CARNIVAL CORP                | PAIRED CTF       | 143658300 | 5325               | 160000         | SH         | PUT          | DEFINED           | 1 2         |
| CHESAPEAKE ENERGY CORP       | COM              | 165167107 | 2272               | 80000          | SH         | CALL         | DEFINED           | 1 2         |
| CHESAPEAKE ENERGY CORP       | COM              | 165167107 | 2272               | 80000          | SH         | PUT          | DEFINED           | 1 2         |
| CHINA HLDGS ACQUISITION CORP | *W EXP 11/16/201 | 16942N114 | 318                | 990000         | SH         |              | DEFINED           | 1 2         |
| DANA HOLDING CORP            | COM              | 235825205 | 6624               | 972625         | SH         |              | DEFINED           | 1 2         |
| DIAMOND OFFSHORE DRILLING IN | COM              | 25271C102 | 2959               | 30975          | SH         |              | DEFINED           | 1 2         |
| EBAY INC                     | COM              | 278642103 | 6556               | 277700         | SH         |              | DEFINED           | 1 2         |
| ENTERPRISE ACQUISITION CORP  | *W EXP 11/07/201 | 29365R116 | 150                | 500000         | SH         |              | DEFINED           | 1 2         |
| FEDEX CORP                   | COM              | 31428X106 | 2256               | 29500          | SH         | PUT          | DEFINED           | 1 2         |
| FORD MTR CO DEL              | COM PAR \$0.01   | 345370860 | 123                | 17000          | SH         |              | DEFINED           | 1 2         |
| FORD MTR CO DEL              | COM PAR \$0.01   | 345370860 | 2163               | 300000         | SH         | PUT          | DEFINED           | 1 2         |
| GAP INC DEL                  | COM              | 364760108 | 6739               | 314900         | SH         |              | DEFINED           | 1 2         |
| GENCO SHIPPING & TRADING LTD | SHS              | Y2685T107 | 312                | 15000          | SH         | PUT          | DEFINED           | 1 2         |
| GENERAL ELECTRIC CO          | COM              | 369604103 | 4401               | 268000         | SH         | PUT          | DEFINED           | 1 2         |
| GENESIS LEASE LTD            | ADR              | 37183T107 | 2015               | 225200         | SH         |              | DEFINED           | 1 2         |
| GLOBAL BRANDS ACQUISITION CO | *W EXP 12/06/201 | 378982110 | 300                | 1500000        | SH         |              | DEFINED           | 1 2         |

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|                              |                  |           |       |         |    |      |         |   |   |
|------------------------------|------------------|-----------|-------|---------|----|------|---------|---|---|
| GLOBAL CONSUMER ACQST CORP   | *W EXP 11/27/201 | 378983118 | 418   | 575500  | SH |      | DEFINED | 1 | 2 |
| GOLD FIELDS LTD NEW          | SPONSORED ADR    | 38059T106 | 2783  | 202000  | SH |      | DEFINED | 1 | 2 |
| GOLDEN POND HEALTHCARE INC   | *W EXP 11/06/201 | 38116J117 | 3     | 750000  | SH |      | DEFINED | 1 | 2 |
| GOOGLE INC                   | CL A             | 38259P508 | 7438  | 15000   | SH |      | DEFINED | 1 | 2 |
| GRAINGER W W INC             | COM              | 384802104 | 18766 | 210000  | SH | PUT  | DEFINED | 1 | 2 |
| HALLIBURTON CO               | COM              | 406216101 | 336   | 12400   | SH |      | DEFINED | 1 | 2 |
| HALLIBURTON CO               | COM              | 406216101 | 1427  | 52600   | SH |      | DEFINED | 1 | 2 |
| HASBRO INC                   | COM              | 418056107 | 6205  | 223600  | SH |      | DEFINED | 1 | 2 |
| IDEATION ACQUISITION CORP    | *W EXP 11/19/201 | 451665111 | 412   | 250000  | SH |      | DEFINED | 1 | 2 |
| INTL PAPER CO                | COM              | 460146103 | 489   | 22000   | SH |      | DEFINED | 1 | 2 |
| ITT EDUCATIONAL SERVICES INC | COM              | 45068B109 | 1380  | 12500   | SH | PUT  | DEFINED | 1 | 2 |
| KB HOME                      | COM              | 48666K109 | 1993  | 120000  | SH |      | DEFINED | 1 | 2 |
| KB HOME                      | COM              | 48666K109 | 1593  | 95900   | SH | CALL | DEFINED | 1 | 2 |
| KB HOME                      | COM              | 48666K109 | 6712  | 404100  | SH | CALL | DEFINED | 1 | 2 |
| KROGER CO                    | COM              | 501044101 | 32797 | 1589000 | SH |      | DEFINED | 1 | 2 |
| LIBERTY ACQUISITION HLDGS CO | *W EXP 12/12/201 | 53015Y115 | 203   | 336900  | SH |      | DEFINED | 1 | 2 |
| LIBERTY ACQUISITION HLDGS CO | COM              | 53015Y107 | 454   | 33191   | SH |      | DEFINED | 1 | 2 |
| LOWES COS INC                | COM              | 548661107 | 6056  | 289200  | SH |      | DEFINED | 1 | 2 |
| MACYS INC                    | COM              | 55616P104 | 1829  | 100000  | SH | PUT  | DEFINED | 1 | 2 |
| MARKET VECTORS ETF TR        | GOLD MINER ETF   | 57060U100 | 2962  | 65400   | SH |      | DEFINED | 1 | 2 |
| MARKET VECTORS ETF TR        | GOLD MINER ETF   | 57060U100 | 10399 | 229600  | SH |      | DEFINED | 1 | 2 |
| MASCO CORP                   | COM              | 574599106 | 996   | 77100   | SH |      | DEFINED | 1 | 2 |
| MCDONALDS CORP               | COM              | 580135101 | 6340  | 111100  | SH |      | DEFINED | 1 | 2 |
| MGM MIRAGE                   | COM              | 552953101 | 12613 | 1039000 | SH | PUT  | DEFINED | 1 | 2 |
| MOHAWK INDS INC              | COM              | 608190104 | 477   | 10000   | SH | CALL | DEFINED | 1 | 2 |
| MOHAWK INDS INC              | COM              | 608190104 | 1192  | 25000   | SH | PUT  | DEFINED | 1 | 2 |
| NABORS INDUSTRIES LTD        | SHS              | G6359F103 | 297   | 14200   | SH |      | DEFINED | 1 | 2 |
| NABORS INDUSTRIES LTD        | SHS              | G6359F103 | 1208  | 57800   | SH |      | DEFINED | 1 | 2 |
| NAVIOS MARITIME ACQUIS CORP  | *W EXP 06/25/201 | Y62159119 | 912   | 1250000 | SH |      | DEFINED | 1 | 2 |
| NEWCASTLE INVT CORP          | COM              | 65105M108 | 3046  | 1025729 | SH |      | DEFINED | 1 | 2 |
| NEWCASTLE INVT CORP          | COM              | 65105M108 | 569   | 191600  | SH |      | DEFINED | 1 | 2 |
| NEWS CORP                    | CL A             | 65248E104 | 6684  | 557500  | SH |      | DEFINED | 1 | 2 |
| NORTHWESTERN CORP            | COM NEW          | 668074305 | 20652 | 845347  | SH |      | DEFINED | 1 | 2 |
| NUCOR CORP                   | COM              | 670346105 | 1175  | 25000   | SH | CALL | DEFINED | 1 | 2 |
| NUCOR CORP                   | COM              | 670346105 | 1175  | 25000   | SH | PUT  | DEFINED | 1 | 2 |
| ODYSSEY MARINE EXPLORATION I | COM              | 676118102 | 1790  | 962502  | SH |      | DEFINED | 1 | 2 |
| OIL SVC HOLDRS TR            | DEPOSTRY RCPT    | 678002106 | 1961  | 16700   | SH |      | DEFINED | 1 | 2 |
| OIL SVC HOLDRS TR            | DEPOSTRY RCPT    | 678002106 | 8020  | 68300   | SH |      | DEFINED | 1 | 2 |
| PACCAR INC                   | COM              | 693718108 | 2278  | 60000   | SH | PUT  | DEFINED | 1 | 2 |
| PARKER HANNIFIN CORP         | COM              | 701094104 | 389   | 7500    | SH |      | DEFINED | 1 | 2 |
| PARKER HANNIFIN CORP         | COM              | 701094104 | 1384  | 26700   | SH |      | DEFINED | 1 | 2 |
| PAYCHEX INC                  | COM              | 704326107 | 726   | 25000   | SH | PUT  | DEFINED | 1 | 2 |
| PEABODY ENERGY CORP          | COM              | 704549104 | 372   | 10000   | SH |      | DEFINED | 1 | 2 |
| PEABODY ENERGY CORP          | COM              | 704549104 | 1526  | 41000   | SH |      | DEFINED | 1 | 2 |
| PENN NATL GAMING INC         | COM              | 707569109 | 4353  | 157377  | SH |      | DEFINED | 1 | 2 |
| POLARIS INDS INC             | COM              | 731068102 | 619   | 15000   | SH | PUT  | DEFINED | 1 | 2 |
| POWERSHARES QQQ TRUST        | UNIT SER 1       | 73935A104 |       | 510300  | SH | PUT  | DEFINED | 1 | 2 |
| PROSPECT ACQUISITION CORP    | *W EXP 11/14/201 | 74347T111 | 569   | 924800  | SH |      | DEFINED | 1 | 2 |
| RALCORP HLDGS INC NEW        | COM              | 751028101 | 5689  | 97300   | SH |      | DEFINED | 1 | 2 |
| SAKS INC                     | COM              | 79377W108 | 134   | 19600   | SH |      | DEFINED | 1 | 2 |
| SAKS INC                     | COM              | 79377W108 | 1364  | 200000  | SH | PUT  | DEFINED | 1 | 2 |
| SAPPHIRE INDUSTRIALS CORP    | *W EXP 01/17/201 | 80306T117 | 416   | 1000000 | SH |      | DEFINED | 1 | 2 |
| SCHLUMBERGER LTD             | COM              | 806857108 | 811   | 13600   | SH |      | DEFINED | 1 | 2 |
| SCHLUMBERGER LTD             | COM              | 806857108 | 3361  | 56400   | SH |      | DEFINED | 1 | 2 |
| SLM CORP                     | COM              | 78442P106 | 248   | 28400   | SH |      | DEFINED | 1 | 2 |
| SLM CORP                     | COM              | 78442P106 | 24237 | 2779500 | SH | PUT  | DEFINED | 1 | 2 |
| SMITHFIELD FOODS INC         | COM              | 832248108 | 8244  | 597400  | SH | PUT  | DEFINED | 1 | 2 |
| SPDR SERIES TRUST            | S&P RETAIL ETF   | 78464A714 | 5841  | 170000  | SH | PUT  | DEFINED | 1 | 2 |
| SPDR TR                      | UNIT SER 1       | 78462F103 |       | 219000  | SH | PUT  | DEFINED | 1 | 2 |
| SPX CORP                     | COM              | 784635104 | 432   | 7050    | SH |      | DEFINED | 1 | 2 |
| SPX CORP                     | COM              | 784635104 | 1623  | 26495   | SH |      | DEFINED | 1 | 2 |
| STARWOOD HOTELS&RESORTS WRLD | COM              | 85590A401 | 727   | 22000   | SH |      | DEFINED | 1 | 2 |

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|                              |                  |           |       |         |    |         |         |   |   |
|------------------------------|------------------|-----------|-------|---------|----|---------|---------|---|---|
| TRANSOCEAN LTD               | REG SHS          | H8817H100 | 1009  | 11800   | SH | DEFINED | 1       | 2 |   |
| TRANSOCEAN LTD               | REG SHS          | H8817H100 | 4123  | 48200   | SH | DEFINED | 1       | 2 |   |
| TREMISIS ENERGY ACQ CORP II  | *W EXP 12/05/201 | 89472N119 | 220   | 1100000 | SH | DEFINED | 1       | 2 |   |
| TRIAN ACQUISITION I CORP     | *W EXP 01/23/201 | 89582E116 | 371   | 798200  | SH | DEFINED | 1       | 2 |   |
| TRIPLECROWN ACQUISITION CORP | *W EXP 10/22/201 | 89677G117 | 243   | 2559500 | SH | DEFINED | 1       | 2 |   |
| UNITED REFINING ENERGY CORP  | *W EXP 12/11/201 | 911360113 | 448   | 1600000 | SH | DEFINED | 1       | 2 |   |
| UNITED STATES STL CORP NEW   | COM              | 912909108 | 471   | 10620   | SH | DEFINED | 1       | 2 |   |
| UNITED STATES STL CORP NEW   | COM              | 912909108 | 1562  | 35200   | SH | DEFINED | 1       | 2 |   |
| UNITED STATES STL CORP NEW   | COM              | 912909108 | 2844  | 64100   | SH | CALL    | DEFINED | 1 | 2 |
| UNITED STATES STL CORP NEW   | COM              | 912909108 | 11576 | 260900  | SH | CALL    | DEFINED | 1 | 2 |
| WAL MART STORES INC          | COM              | 931142103 | 5699  | 116100  | SH | DEFINED | 1       | 2 |   |
| WILLBROS GROUP INC DEL       | COM              | 969203108 | 691   | 45350   | SH | DEFINED | 1       | 2 |   |
| YUM BRANDS INC               | COM              | 988498101 | 5976  | 177000  | SH | DEFINED | 1       | 2 |   |