Mattson H Michael Form 4 April 30, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

(Instr. 3 and 4)

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January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

**COMMON** 

**COMMON** 

**COMMON** 

**COMMON** 

**STOCK** 

**STOCK** 

**STOCK** 

**STOCK** 

04/29/2013

(Print or Type Responses)

See Instruction

1. Name and Ao Mattson H M	_, _, _, _,	2. Issuer Name <b>and</b> Ticker or Trading Symbol GUARANTY FEDERAL BANCSHARES INC [GFED]			5. Relationship of Reporting Person(s) to Issuer				
1/24400 022 22 20	GUARA				(Check all applicable)				
(Last)	(First) (Mide	,	3. Date of Earliest Transaction			Director 10% OwnerX_ Officer (give title Other (specif			
4585 S PAR	` `	(Month/Day/Year) 04/29/2013			below) below)  Executive VP-CLO				
	(Street) 4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
		Filed(Month	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person			
SPRINGFIE	LD, MO 65810				Form filed by Person	More than One R	eporting		
(City)	(State) (Zip	p) Table	I - Non-De	rivative Securities Ac	quired, Disposed	of, or Beneficia	ally Owned		
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transacti	onAcquired (A) or	Securities	Ownership	Indirect		
(Instr. 3) any		any	Code	Disposed of (D)	Beneficially	Form: Direct	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	(D) or	Ownership		
					Following	Indirect (I)	(Instr. 4)		
				(A)	Reported	(Instr. 4)			
				(A)	Transaction(s)				

Code V Amount

500

P

(D)

A

Price

\$ 10 500

9,450

2,796

3,864

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**IRA SELF** 

**IRA SELF** 

Restricted

**ESOP** 

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SEC 1474 (9-02)

number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						
						4, and 5)						
										Amount		
										Amount		
							Date Expiration Exercisable Date	Expiration	or T'd N			
				C 1 W	(A) (D)				of			
					Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Mattson H Michael 4585 S PARKHILL SPRINGFIELD, MO 65810

**Executive VP-CLO** 

## **Signatures**

H. MICHAEL 04/30/2013 **MATTSON** 

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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