

PACIFIC PREMIER BANCORP INC
 Form 3
 April 11, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Pfau Michael E. | | (Month/Day/Year) | PACIFIC PREMIER BANCORP INC [PPBI] | |
| (Last) | (First) | (Middle) | 04/01/2017 | |
| 17901 VON KARMAN AVE., | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| SUITE 1200 | | | | |
| (Street) | | | (Check all applicable) | |
| IRVINE,Â CAÂ 92614 | | | <input checked="" type="checkbox"/> Director | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input type="checkbox"/> 10% Owner | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | <input type="checkbox"/> Officer | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | (give title below) | (specify below) |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| PPBI Common Stock | 30,038 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|--|--|---|--|--|---|

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|------------------------------|------------------|-----------------|-------------------|----------------------------|----------|----------------------------|---|
| Options on PPBI Common Stock | Â (2) | 02/01/2018 | PPBI Common Stock | 911 | \$ 33.08 | D | Â |
| Options on PPBI Common Stock | Â (2) | 02/02/2019 | PPBI Common Stock | 867 | \$ 12.97 | D | Â |
| Options on PPBI Common Stock | Â (2) | 09/01/2020 | PPBI Common Stock | 2,603 | \$ 8.94 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Pfau Michael E. 17901 VON KARMAN AVE., SUITE 1200 IRVINE, CA 92614 | Â X | Â | Â | Â |

Signatures

Michael E. Pfau 04/11/2017
 __Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 Includes 1,076 shares of restricted stock subject to vesting on 9/1/2017 and subject to the terms of the relevant restricted stock grant. The
 (1) reported shares of unvested restricted stock are subject to forfeiture upon the occurrence of certain specified events in the restricted stock grant.
 (2) All options became immediately vested and exercisable as of 4/1/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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