

BEZOS JEFFREY P
Form 4
October 31, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BEZOS JEFFREY P

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
10/29/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	10/29/2018		S ⁽¹⁾	601 D	\$ 1,612.2954 (2)	78,884,539	D
Common Stock, par value \$.01 per share	10/29/2018		S ⁽¹⁾	1,374 D	\$ 1,613.6197 (3)	78,883,165	D
Common Stock, par	10/29/2018		S ⁽¹⁾	560 D	\$ 1,614.2869	78,882,605	D

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value					(4)		
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	100	D	\$ 1,616.65	78,882,505	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	200	D	\$ 1,618.13	78,882,305	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	500	D	\$ 1,620.68 (5)	78,881,805	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	100	D	\$ 1,621.58	78,881,705	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	124	D	\$ 1,622.7226 (6)	78,881,581	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	103	D	\$ 1,624.6026 (7)	78,881,478	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	252	D	\$ 1,625.6341 (8)	78,881,226	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	1,100	D	\$ 1,626.7473 (9)	78,880,126	D
\$.01 per share							
Common Stock, par value	10/29/2018	S(1)	304	D	\$ 1,628.195 (10)	78,879,822	D
value							

\$.01 per
share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

300

D

\$ 1,629.38
(11)

78,879,522

D

\$.01 per

share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

700

D

\$
1,631.0243
(12)

78,878,822

D

\$.01 per

share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

1,000

D

\$ 1,632.414
(13)

78,877,822

D

\$.01 per

share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

1,500

D

\$ 1,633.262
(14)

78,876,322

D

\$.01 per

share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

807

D

\$
1,634.1816
(15)

78,875,515

D

\$.01 per

share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

500

D

\$ 1,635.378
(16)

78,875,015

D

\$.01 per

share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

400

D

\$ 1,636.82
(17)

78,874,615

D

\$.01 per

share

Common
Stock, par

value 10/29/2018

S⁽¹⁾

600

D

\$
1,638.2467
(18)

78,874,015

D

\$.01 per

share

Common 10/29/2018

S⁽¹⁾

900

D

\$
1,639.0168
(19)

78,873,115

D

Stock, par
value

\$.01 per

share

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 700 D \$
1,640.1286 78,872,415 D
(20)

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 1,439 D \$
1,641.1882 78,870,976 D
(21)

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 700 D \$
1,642.0986 78,870,276 D
(22)

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 600 D \$
1,644.3667 78,869,676 D
(23)

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 500 D \$ 1,645.364
(24) 78,869,176 D

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 400 D \$
1,646.5575 78,868,776 D
(25)

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 300 D \$
1,648.2967 78,868,476 D
(26)

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 200 D \$ 1,649.4
(27) 78,868,276 D

Common
Stock, par
value 10/29/2018
\$.01 per
share

S⁽¹⁾ 100 D \$ 1,650.18 78,868,176 D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BEZOS JEFFREY P P.O. BOX 81226 SEATTLE, WA 98108-1226	X	X	Chairman, CEO and President	

Signatures

/s/ PAUL DAUBER, attorney-in-fact for Jeffrey P. Bezos, Chairman of the Board, Chief
Executive Officer and President

10/31/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,612.79 and the lowest price at which shares were sold was \$1,611.94.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,614.05 and the lowest price at which shares were sold was \$1,613.08.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,614.30 and the lowest price at which shares were sold was \$1,614.14.
- (5)

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Represents the weighted average sale price. The highest price at which shares were sold was \$1,621.23 and the lowest price at which shares were sold was \$1,620.44.

- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,623.15 and the lowest price at which shares were sold was \$1,622.62.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$1,624.63 and the lowest price at which shares were sold was \$1,623.69.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$1,625.78 and the lowest price at which shares were sold was \$1,625.15.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$1,627.13 and the lowest price at which shares were sold was \$1,626.21.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$1,628.82 and the lowest price at which shares were sold was \$1,627.85.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$1,629.66 and the lowest price at which shares were sold was \$1,629.15.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$1,631.64 and the lowest price at which shares were sold was \$1,630.76.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$1,632.77 and the lowest price at which shares were sold was \$1,631.82.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$1,633.80 and the lowest price at which shares were sold was \$1,632.82.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$1,634.69 and the lowest price at which shares were sold was \$1,633.93.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$1,635.84 and the lowest price at which shares were sold was \$1,635.11.
- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$1,637.14 and the lowest price at which shares were sold was \$1,636.15.
- (18) Represents the weighted average sale price. The highest price at which shares were sold was \$1,638.59 and the lowest price at which shares were sold was \$1,637.64.
- (19) Represents the weighted average sale price. The highest price at which shares were sold was \$1,639.39 and the lowest price at which shares were sold was \$1,638.70.
- (20) Represents the weighted average sale price. The highest price at which shares were sold was \$1,640.69 and the lowest price at which shares were sold was \$1,639.88.
- (21) Represents the weighted average sale price. The highest price at which shares were sold was \$1,641.69 and the lowest price at which shares were sold was \$1,640.95.
- (22) Represents the weighted average sale price. The highest price at which shares were sold was \$1,642.15 and the lowest price at which shares were sold was \$1,642.05.
- (23) Represents the weighted average sale price. The highest price at which shares were sold was \$1,645.01 and the lowest price at which shares were sold was \$1,644.02.
- (24) Represents the weighted average sale price. The highest price at which shares were sold was \$1,645.66 and the lowest price at which shares were sold was \$1,645.02.
- (25) Represents the weighted average sale price. The highest price at which shares were sold was \$1,647.05 and the lowest price at which shares were sold was \$1,646.12.
- (26) Represents the weighted average sale price. The highest price at which shares were sold was \$1,648.79 and the lowest price at which shares were sold was \$1,648.05.
- (27) Represents the weighted average sale price. The highest price at which shares were sold was \$1,649.68 and the lowest price at which shares were sold was \$1,649.12.

Remarks:

Explanation of Responses:

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This is one of two Forms 4 filed to report transactions on the date reported.

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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