AMAZON COM INC

Form 4

Stock, par

November 17, 2016

| FORM | ЛД | | | | | | | | OMB A | APPROVAL | |
|---|--|--|--------------------------------|---|--------------------------------------|--------|---------------|---|---|---|--|
| . 0111 | UNITED | STATES | | RITIES ashington | | | | OMMISSION | OMB Number: | 3235-0287 | |
| Check to if no los subject Section Form 4 Form 5 obligation may consee Install (b). | nger to STATE 16. or Filed pu ons Section 17 | rsuant to S (a) of the F | CHA ection | NGES IN SECU 16(a) of t Utility Ho | N BENE RITIES the Secu | FIC: | IAL OWN | Act of 1934, 1935 or Section | Expires: Estimated burden hor response | urs per | |
| (Print or Type | (Responses) | | | | | | | | | | |
| 1. Name and Reynolds S | Address of Reporting Shelley | g Person * | Symbol | ner Name an ZON COI | | | _ | 5. Relationship of Issuer | | | |
| (Last) | st) (First) (Middle) 3. | | | of Earliest | Transactio | | J | (Check all applicable) Director 10% Owner | | | |
| P.O. BOX 81226 | | | (Month/Day/Year) 11/15/2016 | | | | | Officer (give titleOther (specify below) Vice President | | | |
| | | | | nendment, I Ionth/Day/Ye | ŭ | nal | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| SEATTLE | , WA 98108-1220 | 5 | | | | | | Form filed by M Person | ore than One R | Reporting | |
| (City) | (State) | (Zip) | Ta | ble I - Non | -Derivativ | ve Sec | curities Acqu | iired, Disposed of, | or Beneficia | ally Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | . Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securi or Dispos (Instr. 3, | sed of | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock, par value | 11/15/2016 | | | Code V | Amount 530 | | Price | (Instr. 3 and 4) 6,519 | D | | |
| \$.01 per share Common | | | | | | | | | | | |
| Stock, par value \$.01 per share | 11/15/2016 | | | M | 96 | A | \$ 0 | 6,615 | D | | |
| Common | 11/15/2016 | | | S(1) | 100 | D | \$ 726.53 | 6,515 | D | | |

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|---|------------|--|--------------|-----|---|-----------------------|---------|---|---|--|
| value \$.01 per share | | | | | | | | | | |
| Common Stock, par value \$.01 per share | 11/15/2016 | | S <u>(1)</u> | 100 | D | \$ 729.03 | 6,415 | D | | |
| Common Stock, par value \$.01 per share | 11/15/2016 | | S <u>(1)</u> | 122 | D | \$ 736.8746 (2) | 6,293 | D | | |
| Common Stock, par value \$.01 per share | 11/15/2016 | | S <u>(1)</u> | 204 | D | \$ 738.4133 (3) | 6,089 | D | | |
| Common Stock, par value \$.01 per share | 11/15/2016 | | S <u>(1)</u> | 100 | D | \$ 739.3 | 5,989 | D | | |
| Common Stock, par value \$.01 per share | | | | | | | 132.784 | I | Held by the reporting person's Amazon.com 401(k) plan account | |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02) | | | | | | | | | | |

 $\label{lem:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|------------------------|---|--|--|--|--|---|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactionof | | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Derivative | (Month/Day/Year) | (Instr. 3 and 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Securities | | |
| | Derivative | | | | Acquired | | |
| | Security | | | | (A) or | | |
| | | | | | Disposed | | |
| | | | | | of (D) | | |
| | | | | | (Instr. 3, 4, | | |
| | | | | | and 5) | | |
| | Derivative Security | Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative | Derivative Conversion (Month/Day/Year) Security or Exercise (Instr. 3) Price of Derivative | Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any (Instr. 3) Price of Derivative (Month/Day/Year) | Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Security or Exercise any Code (Instr. 3) Price of Derivative (Month/Day/Year) (Instr. 8) | Derivative Conversion (Month/Day/Year) Execution Date, if Code Derivative Security or Exercise any Code Derivative (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Securities Derivative Security (A) or Disposed of (D) (Instr. 3, 4, | Derivative Conversion (Month/Day/Year) Execution Date, if any Code Derivative (Month/Day/Year) Price of (Month/Day/Year) (Instr. 8) Securities Derivative Security (A) or Disposed of (D) (Instr. 3, 4, |

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| | | | Code V (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|-----------------------------------|----------|------------|------------|-----|-----------------------|--------------------|---|--|
| Restricted Stock Unit Award | \$ 0 (4) | 11/15/2016 | M | 530 | 05/15/2014(5) | 02/15/2017 | Common Stock, par value \$.01 per share | 530 |
| Restricted Stock Unit Award | \$ 0 (4) | 11/15/2016 | M | 96 | 05/15/2015 <u>(6)</u> | 02/15/2020 | Common Stock, par value \$.01 per share | 96 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reynolds Shelley

P.O. BOX 81226 Vice President

SEATTLE, WA 98108-1226

Signatures

/s/ Shelley Reynolds, Vice

President 11/16/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$736.88 and the lowest price at which shares were sold was \$736.85.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$738.55 and the lowest price at which shares were sold was \$738.23.
- (4) Converts into common stock on a one-for-one basis.
 - This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 180 shares on May 15, 2014; 179 shares on
- (5) each of August 15, 2014, November 15, 2014, and February 15, 2015; 162 shares on each of May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016; 531 shares on May 15, 2016; and 530 shares on each of August 15, 2016, November 15, 2016, and February 15, 2017.
- (6) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 15 shares on May 15, 2015; 16 shares on each of August 15, 2015, November 15, 2015, and February 15, 2016; 95 shares on each of May 15, 2016 and August 15, 2016; 96 shares on each of November 15, 2016 and February 15, 2017; 544 shares on each of May 15, 2017, August 15, 2017, November 15, 2017, and February 15, 2018; 419 shares on each of May 15, 2018; 420 shares on each of November 15, 2018 and

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February 15, 2019; and 318 shares on each of May 15, 2019, August 15, 2019, November 15, 2019, and February 15, 2020.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer, Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.