#### WEHMER EDWARD J

Form 4

January 29, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287 January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

**OMB APPROVAL** 

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

6 Ownership 7 Nature of

See Instruction 1(b).

1 Title of

2 Transaction Date 2A Deemed

(Print or Type Responses)

1. Name and Ad WEHMER E	*	ting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol WINTRUST FINANCIAL CORP [WTFC]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 9700 WEST FLOOR	9700 WEST HIGGINS ROAD, 8TH		3. Date of Earliest Transaction (Month/Day/Year) 01/25/2018	_X_ Director 10% OwnerX_ Officer (give title Other (specify below) PRESIDENT AND CEO			
(Street)  ROSEMONT, IL 60018			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
KOSEMON	1, 1L 00018			Person			

(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
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4 Securities

5 Amount of

1.110001	2. Transaction Date	ZA. Decilieu	٥.	4. Securities		J. Allioulit of	o. Ownership	7. Nature or	
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)
				(A)		Reported			
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common			Code v	Amount	(D)				
Common	01/25/2018		A	7,700	A	\$0	120,691	D	
Stock						<u>(1)</u>			
Common									by 401(k)
Stock							$7,108 \frac{(2)}{}$	I	Plan
SIOCK									Fian
Common									
Stock							46,376	I	by Spouse
Stock									
Common							4.050	•	FBO
Stock							4,950	I	children
~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: WEHMER EDWARD J - Form 4

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A 4	
									Amount	
						Date	Expiration	TP:41	or	
						Exercisable	Date	Title	Number	
				C 1 1	(A) (D)				of	
				Code V	(A) (D)				Shares	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WEHMER EDWARD J 9700 WEST HIGGINS ROAD, 8TH FLOOR ROSEMONT, IL 60018

X

PRESIDENT AND CEO

# **Signatures**

/s/Kathleen M. Boege, Attorney-in-fact

01/29/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock awarded upon attainment of performance objectives under the Company's Long Term Incentive Program.
- (2) Includes an increase of 23 shares held in the Company's 401(k) plan during 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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