

HUDSON TECHNOLOGIES INC /NY
Form 4
May 08, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ZUGIBE KEVIN J

2. Issuer Name and Ticker or Trading Symbol
HUDSON TECHNOLOGIES INC /NY [HDSN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
PO BOX 754
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/06/2015

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, Chief Executive Off

PEARL RIVER, NY 10965

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount		
Common Stock	05/06/2015		S		145,300	D	
					4.55		
					\$ 4,763,128 ⁽²⁾	D	
					⁽¹⁾		
Common Stock	05/07/2015		S		100,000	D	
					\$ 4.08		
					4,663,128 ⁽²⁾	D	
Common Stock	05/08/2015		M		18,750	A	
					\$ 0.83		
					4,663,128 ⁽³⁾	D	
Common Stock	05/08/2015		M		18,750	A	
					\$ 2.15		
					4,663,128 ⁽⁴⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (right to buy)	\$ 0.83	05/08/2015		M	18,750	07/08/2005 07/08/2015	Common Stock	18,750
Stock Option (right to buy)	\$ 2.15	05/08/2015		M	18,750	09/30/2005 09/30/2015	Common Stock	18,750

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ZUGIBE KEVIN J PO BOX 754 PEARL RIVER, NY 10965	X		Chairman, Chief Executive Off	

Signatures

Kevin J. Zugibe 05/08/2015

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$4.4004 to \$4.6516 per share inclusive. The reporting person undertakes to provide Hudson Technologies, Inc., any security holder of Hudson Technologies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4,

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- (2) Includes 758,550 shares which may be purchased pursuant to stock options.
- (3) Includes 739,800 shares which may be purchased pursuant to stock options.
- (4) Includes 721,050 shares which may be purchased pursuant to stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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