

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 27, 2015

PAGE 1
000 A000000 06/30/2015
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 The Swiss Helvetia Fund, Inc.
001 B000000 811-05128
001 C000000 8007302932
002 A000000 875 Third Avenue, 22nd Floor
002 B000000 New York
002 C000000 NY
002 D010000 10022
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 SCHRODER INVESTMENT MANAGEMENT N.A. INC.
008 B000001 A
008 C000001 801-15834
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10022
008 A000002 SCHRODER INVESTMENT MANAGEMENT N.A. LTD.
008 B000002 S
008 C000002 801-37163
008 D010002 LONDON
008 D050002 UNITED KINGDOM
008 D060002 EC2V 7QA
010 A000001 CITI FUND SERVICES OHIO, INC.
010 B000001 085-11408
010 C010001 COLUMBUS
010 C020001 OH
010 C030001 43219
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SCHRODER FUND ADVISORS LLC
014 B000001 8-40973
015 A000001 CITIBANK, N.A.
PAGE 2
015 B000001 S
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10043
015 E040001 X
018 000000 Y

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

019 A000000 N
019 B000000 0
020 A000001 UBS Group AG
020 B000001 13-2932996
020 C000001 16
020 A000002 Credit Suisse First Boston Corp.
020 B000002 13-5699485
020 C000002 10
020 A000003 Investment Technology Group
020 B000003 13-3757717
020 C000003 9
020 A000004 Citigroup Global Markets
020 B000004 13-2771536
020 C000004 7
020 A000005 Exane, Inc.
020 B000005 13-3901039
020 C000005 3
020 A000006 Morgan Stanley
020 B000006 97-1671384
020 C000006 3
020 A000007 Merrill Lynch
020 B000007 13-3180818
020 C000007 2
020 A000008 JP Morgan Securities
020 B000008 04-1867445
020 C000008 2
020 A000009 Barclays Capital Inc.
020 B000009 13-3551367
020 C000009 1
020 A000010 Liquidnet, Inc.
020 B000010 13-4095933
020 C000010 1
021 000000 56
022 A000001 UBS Group AG
022 B000001 13-2932996
022 C000001 2606
022 D000001 0
022 A000002 Aargauische Kantonalbank
022 C000002 1256
022 D000002 0
023 C000000 4107
023 D000000 4722
024 000000 Y
025 A000001 UBS Group AG
025 B000001 13-2932996
PAGE 3
025 C000001 E
025 D000001 10535
025 A000002 Credit Suisse First Boston Corp.
025 B000002 13-5699485
025 C000002 E
025 D000002 9861
026 A000000 N
026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 250000
 048 A020000 0.700
 048 B010000 350000
 048 B020000 0.600
 048 C010000 450000
 048 C020000 0.550
 048 D010000 550000
 048 D020000 0.500
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 550000
 048 K020000 0.450
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 N
 054 C000000 N
 054 D000000 N
 PAGE 4
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0

066 A000000 Y
066 B000000 N
066 C000000 Y
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 Y
069 000000 N
070 A010000 Y
070 A020000 N

PAGE 5

070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
070 G020000 N
070 H010000 N
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 N
070 R020000 N

071 A000000 24044
071 B000000 15230

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

| | |
|-------------|--------|
| 071 C000000 | 109907 |
| 071 D000000 | 14 |
| 072 A000000 | 6 |
| 072 B000000 | 0 |
| 072 C000000 | 6483 |
| 072 D000000 | 0 |
| 072 E000000 | 0 |
| 072 F000000 | 1231 |
| 072 G000000 | 138 |
| 072 H000000 | 0 |
| 072 I000000 | 21 |
| 072 J000000 | 37 |
| 072 K000000 | 0 |
| 072 L000000 | 56 |
| 072 M000000 | 354 |
| PAGE 6 | |
| 072 N000000 | 0 |
| 072 O000000 | 0 |
| 072 P000000 | 0 |
| 072 Q000000 | 53 |
| 072 R000000 | 54 |
| 072 S000000 | 376 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 158 |
| 072 X000000 | 2478 |
| 072 Y000000 | 0 |
| 072 Z000000 | 4005 |
| 072AA000000 | 4114 |
| 072BB000000 | 0 |
| 072CC010000 | 15207 |
| 072CC020000 | 0 |
| 072DD010000 | 0 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 507 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 3200 |
| 074 F000000 | 343959 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 7498 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 1322 |
| 074 M000000 | 21948 |
| 074 N000000 | 378434 |
| 074 O000000 | 61 |
| 074 P000000 | 213 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 670 |
| 074 S000000 | 0 |

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

| | | |
|-----|-----------|-------------------------------|
| 074 | T000000 | 377490 |
| 074 | U010000 | 27843 |
| 074 | U020000 | 0 |
| 074 | V010000 | 13.56 |
| 074 | V020000 | 0.00 |
| | PAGE | 7 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 461 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 372544 |
| 076 | 000000 | 11.70 |
| 077 | A000000 | Y |
| 077 | B000000 | N |
| 077 | C000000 | Y |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 1195 |
| 086 | A020000 | 13707 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | THE SWISS HELVETIA FUND, INC. |
| 087 | A020000 | 870875101 |
| 087 | A030000 | SWZ |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| | SIGNATURE | DAVID MARSHALL |
| | TITLE | Assistant Treasurer |