

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 24, 2010

PAGE 1  
000 A000000 06/30/2010  
000 C000000 0000813623  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE SWISS HELVETIA FUND, INC.  
001 B000000 811-05128  
001 C000000 8887947700  
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10020  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 HOTTINGER CAPITAL CORP.  
008 B000001 A  
008 C000001 801-30025  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10020  
010 A000001 CITI FUND SERVICES OHIO, INC.  
010 B000001 85-11408  
010 C010001 COLUMBUS  
010 C020001 OH  
010 C030001 43219  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
014 A000001 HOTTINGER & CIE SA  
014 B000001 8-062959  
015 A000001 Citibank, Inc.  
015 B000001 C  
015 C010001 New York  
015 C020001 NY  
015 C030001 10043  
015 E010001 X  
PAGE 2  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 Deutsche bank  
020 B000001 14-348532  
020 C000001 106

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|     |         |                          |  |
|-----|---------|--------------------------|--|
| 020 | A000002 | KEPLER EQUITIES          |  |
| 020 | C000002 | 76                       |  |
| 020 | A000003 | MERRILL LYNCH            |  |
| 020 | B000003 | 13-3180818               |  |
| 020 | C000003 | 71                       |  |
| 020 | A000004 | HELVEA INC               |  |
| 020 | C000004 | 68                       |  |
| 020 | A000005 | NEUE ZURCHER BANK        |  |
| 020 | C000005 | 64                       |  |
| 020 | A000006 | HOTTINGER & CIE          |  |
| 020 | C000006 | 63                       |  |
| 020 | A000007 | MORGAN STANLEY & CO INC. |  |
| 020 | B000007 | 13-2655998               |  |
| 020 | C000007 | 46                       |  |
| 020 | A000008 | MAINFIRST BANK           |  |
| 020 | C000008 | 40                       |  |
| 020 | A000009 | VONTOBEL SECURITIES LTD  |  |
| 020 | C000009 | 36                       |  |
| 020 | A000010 | ca cheuvreux             |  |
| 020 | C000010 | 23                       |  |
| 021 | 000000  | 632                      |  |
| 022 | A000001 | HOTTINGER & CIE          |  |
| 022 | C000001 | 14122                    |  |
| 022 | D000001 | 0                        |  |
| 022 | A000002 | CITIBANK                 |  |
| 022 | B000002 | 13-3502968               |  |
| 022 | C000002 | 6154                     |  |
| 022 | D000002 | 0                        |  |
| 023 | C000000 | 20276                    |  |
| 023 | D000000 | 0                        |  |
| 024 | 000000  | N                        |  |
| 026 | A000000 | N                        |  |
| 026 | B000000 | N                        |  |
| 026 | C000000 | N                        |  |
| 026 | D000000 | Y                        |  |
| 026 | E000000 | N                        |  |
| 026 | F000000 | Y                        |  |
| 026 | G010000 | N                        |  |
| 026 | G020000 | N                        |  |
| 026 | H000000 | Y                        |  |
| 027 | 000000  | N                        |  |
| 028 | A010000 | 0                        |  |
| 028 | A020000 | 0                        |  |
| 028 | A030000 | 0                        |  |
| 028 | A040000 | 0                        |  |
|     | PAGE    | 3                        |  |
| 028 | B010000 | 0                        |  |
| 028 | B020000 | 0                        |  |
| 028 | B030000 | 0                        |  |
| 028 | B040000 | 0                        |  |
| 028 | C010000 | 0                        |  |
| 028 | C020000 | 0                        |  |
| 028 | C030000 | 0                        |  |
| 028 | C040000 | 0                        |  |
| 028 | D010000 | 0                        |  |
| 028 | D020000 | 0                        |  |
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| 028 | E010000 | 0                        |  |
| 028 | E020000 | 0                        |  |
| 028 | E030000 | 0                        |  |
| 028 | E040000 | 0                        |  |

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|     |         |        |
|-----|---------|--------|
| 028 | F010000 | 0      |
| 028 | F020000 | 0      |
| 028 | F030000 | 0      |
| 028 | F040000 | 0      |
| 028 | G010000 | 0      |
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| 028 | G030000 | 0      |
| 028 | G040000 | 0      |
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| 031 | B000000 | 0      |
| 032 | 000000  | 0      |
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| 035 | 000000  | 0      |
| 036 | B000000 | 0      |
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| 042 | B000000 | 0      |
| 042 | C000000 | 0      |
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| 042 | F000000 | 0      |
| 042 | G000000 | 0      |
| 042 | H000000 | 0      |
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| 044 | 000000  | 0      |
| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 60000  |
| 048 | A020000 | 1.000  |
|     | PAGE    | 4      |
| 048 | B010000 | 40000  |
| 048 | B020000 | 0.900  |
| 048 | C010000 | 100000 |
| 048 | C020000 | 0.800  |
| 048 | D010000 | 100000 |
| 048 | D020000 | 0.700  |
| 048 | E010000 | 100000 |
| 048 | E020000 | 0.650  |
| 048 | F010000 | 100000 |
| 048 | F020000 | 0.600  |
| 048 | G010000 | 100000 |
| 048 | G020000 | 0.550  |
| 048 | H010000 | 200000 |
| 048 | H020000 | 0.500  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 800000 |
| 048 | K020000 | 0.450  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | N      |
| 054 | A000000 | Y      |

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054 B000000 N  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 N  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 055 A000000 N  
 055 B000000 N  
 056 000000 N  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
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 060 B000000 N  
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 062 A000000 N  
 062 B000000 0.0  
 PAGE 5  
 062 C000000 0.0  
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 062 G000000 0.0  
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 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
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 062 R000000 0.0  
 063 A000000 0  
 063 B000000 0.0  
 066 A000000 Y  
 066 B000000 N  
 066 C000000 Y  
 066 D000000 N  
 066 E000000 N  
 066 F000000 N  
 066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 Y  
 069 000000 N  
 070 A010000 Y  
 070 A020000 N  
 070 B010000 Y  
 070 B020000 Y  
 070 C010000 Y  
 070 C020000 N  
 070 D010000 Y

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|       |         |   |        |
|-------|---------|---|--------|
| 070   | D020000 | N |        |
| 070   | E010000 | N |        |
| 070   | E020000 | N |        |
| 070   | F010000 | N |        |
| 070   | F020000 | N |        |
| 070   | G010000 | N |        |
| 070   | G020000 | N |        |
| 070   | H010000 | N |        |
| 070   | H020000 | N |        |
| 070   | I010000 | N |        |
| 070   | I020000 | N |        |
| 070   | J010000 | Y |        |
| 070   | J020000 | Y |        |
| 070   | K010000 | Y |        |
| 070   | K020000 | N |        |
|       | PAGE    | 6 |        |
| 070   | L010000 | Y |        |
| 070   | L020000 | Y |        |
| 070   | M010000 | Y |        |
| 070   | M020000 | Y |        |
| 070   | N010000 | Y |        |
| 070   | N020000 | N |        |
| 070   | O010000 | Y |        |
| 070   | O020000 | N |        |
| 070   | P010000 | N |        |
| 070   | P020000 | N |        |
| 070   | Q010000 | N |        |
| 070   | Q020000 | N |        |
| 070   | R010000 | N |        |
| 070   | R020000 | N |        |
| 071   | A000000 |   | 139094 |
| 071   | B000000 |   | 165691 |
| 071   | C000000 |   | 393190 |
| 071   | D000000 |   | 35     |
| 072   | A000000 | 6 |        |
| 072   | B000000 |   | 192    |
| 072   | C000000 |   | 7733   |
| 072   | D000000 |   | 0      |
| 072   | E000000 |   | 0      |
| 072   | F000000 |   | 1559   |
| 072   | G000000 |   | 145    |
| 072   | H000000 |   | 0      |
| 072   | I000000 |   | 13     |
| 072   | J000000 |   | 43     |
| 072   | K000000 |   | 0      |
| 072   | L000000 |   | 55     |
| 072   | M000000 |   | 231    |
| 072   | N000000 |   | 0      |
| 072   | O000000 |   | 0      |
| 072   | P000000 |   | 0      |
| 072   | Q000000 |   | 53     |
| 072   | R000000 |   | 23     |
| 072   | S000000 |   | 280    |
| 072   | T000000 |   | 0      |
| 072   | U000000 |   | 0      |
| 072   | V000000 |   | 0      |
| 072   | W000000 |   | 250    |
| 072   | X000000 |   | 2652   |
| 072   | Y000000 |   | 0      |
| 072   | Z000000 |   | 5273   |
| 072AA | 000000  |   | 22940  |
| 072BB | 000000  |   | 0      |

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072CC010000 0  
 072CC020000 57392  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0

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073 A010000 0.0000  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 29573  
 074 B000000 0  
 074 C000000 0  
 074 D000000 6209  
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 074 F000000 340591  
 074 G000000 0  
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 074 I000000 7265  
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 074 K000000 0  
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 074 P000000 247  
 074 Q000000 0  
 074 R010000 0  
 074 R020000 0  
 074 R030000 0  
 074 R040000 487  
 074 S000000 0  
 074 T000000 390403  
 074 U010000 31125  
 074 U020000 0  
 074 V010000 12.54  
 074 V020000 0.00  
 074 W000000 0.0000  
 074 X000000 638  
 074 Y000000 0  
 075 A000000 0  
 075 B000000 413881  
 076 000000 10.67

077 A000000 Y  
 077 B000000 N  
 077 C000000 Y  
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 077 G000000 N  
 077 H000000 N  
 077 I000000 N  
 077 J000000 N  
 077 K000000 N  
 077 L000000 N  
 077 M000000 N  
 077 N000000 N

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077 O000000 N  
 077 P000000 N  
 077 Q010000 N  
 077 Q020000 N

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|           |         |                               |       |
|-----------|---------|-------------------------------|-------|
| 077       | Q030000 | N                             |       |
| 078       | 000000  | N                             |       |
| 080       | C000000 |                               | 0     |
| 081       | B000000 |                               | 0     |
| 082       | B000000 |                               | 0     |
| 083       | B000000 |                               | 0     |
| 084       | B000000 |                               | 0     |
| 086       | A010000 |                               | 0     |
| 086       | A020000 |                               | 0     |
| 086       | B010000 |                               | 1287  |
| 086       | B020000 |                               | 14343 |
| 086       | C010000 |                               | 0     |
| 086       | C020000 |                               | 0     |
| 086       | D010000 |                               | 0     |
| 086       | D020000 |                               | 0     |
| 086       | E010000 |                               | 0     |
| 086       | E020000 |                               | 0     |
| 086       | F010000 |                               | 0     |
| 086       | F020000 |                               | 0     |
| 087       | A010000 | THE SWISS HELVETIA FUND, INC. |       |
| 087       | A020000 | 870875101                     |       |
| 087       | A030000 | SWZ                           |       |
| 088       | A000000 | N                             |       |
| 088       | B000000 | N                             |       |
| 088       | C000000 | N                             |       |
| 088       | D000000 | N                             |       |
| SIGNATURE |         | SCOTT RHODES                  |       |
| TITLE     |         | ASSISTANT TREASURER           |       |