

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

SWISS HELVETIA FUND INC

Form NSAR-A

August 27, 2002

PAGE 1
000 A000000 06/30/2002
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE SWISS HELVETIA FUND, INC.
001 B000000 811-5128
001 C000000 8887947700
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10020
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 HOTTINGER CAPITAL CORP.
008 B000001 A
008 C000001 801-30025
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10020
010 A000001 FORUM ADMINISTRATIVE SERVICES, LLC
010 C010001 PORTLAND
010 C020001 ME
010 C030001 04101
012 A000001 PFPC, INC.
012 B000001 85-11196
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 DELOITTE & TOUCHE, LLP
013 B010001 PRINCETON
013 B020001 NJ
PAGE 2
013 B030001 08540
014 A000001 HOTTINGER AND CIE
014 B000001 8-062959
015 A000001 CREDIT SUISSE FIRST BOSTON
015 B000001 S
015 C010001 ZURICH

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

015 D010001 SWITZERLAND
 015 D020001 8070
 015 E040001 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 LOMBARD ODIER
 020 C000001 53
 020 A000002 MORGAN STANLEY
 020 B000002 13-2655998
 020 C000002 51
 020 A000003 VONTOBEL
 020 C000003 48
 020 A000004 PICTET OVERSEAS, INC.
 020 C000004 44
 020 A000005 UNION BANK OF SWITZERLAND
 020 C000005 42
 020 A000006 HOTTINGER & CIE
 020 C000006 38
 020 A000007 OPPENHEIMER & COMPANY
 020 B000007 13-2798343
 020 C000007 33
 020 A000008 UBS WARBURG
 020 C000008 6
 020 A000009 DEUTSCHE MORGAN GRENFELL
 020 C000009 4
 020 C000010 0
 021 000000 319
 022 C000001 0
 022 D000001 0
 022 C000002 0
 022 D000002 0
 022 C000003 0
 022 D000003 0
 022 C000004 0
 022 D000004 0
 022 C000005 0
 022 D000005 0
 022 C000006 0
 022 D000006 0
 022 C000007 0
 022 D000007 0
 022 C000008 0
 022 D000008 0
 022 C000009 0
 PAGE 3
 022 D000009 0
 022 C000010 0
 022 D000010 0
 023 C000000 0
 023 D000000 0
 024 000000 Y
 025 A000001 UBS WARBURG
 025 C000001 E
 025 D000001 21877
 025 D000002 0
 025 D000003 0
 025 D000004 0
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

| | | | |
|-----|---------|------|---|
| 026 | A000000 | N | |
| 026 | B000000 | Y | |
| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| | PAGE | 4 | |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | N | |

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

047 000000 Y
048 000000 0.000
048 A010000 60000
048 A020000 1.000
048 B010000 40000
048 B020000 0.900
048 C010000 100000
048 C020000 0.800
048 D010000 100000
048 D020000 0.700
048 E010000 100000
048 E020000 0.650
048 F010000 100000
048 F020000 0.600
048 G010000 100000
048 G020000 0.550
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 600000
048 K020000 0.500
049 000000 N
PAGE 5
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 N
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 N
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
060 B000000 N
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 Y
PAGE 6
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 Y
069 000000 N
070 A010000 N
070 A020000 N
070 B010000 N
070 B020000 N
070 C010000 N
070 C020000 N
070 D010000 N
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
070 G020000 N
070 H010000 N
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 N
070 J020000 N
070 K010000 N
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 N
070 O010000 N
070 O020000 N
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 N
070 R020000 N
071 A000000 63687
071 B000000 70113

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

| | |
|-------------|--------|
| 071 C000000 | 309130 |
| 071 D000000 | 21 |
| 072 A000000 | 6 |
| 072 B000000 | 106 |
| 072 C000000 | 3121 |
| PAGE 7 | |
| 072 D000000 | 0 |
| 072 E000000 | 0 |
| 072 F000000 | 1284 |
| 072 G000000 | 136 |
| 072 H000000 | 0 |
| 072 I000000 | 32 |
| 072 J000000 | 83 |
| 072 K000000 | 0 |
| 072 L000000 | 72 |
| 072 M000000 | 136 |
| 072 N000000 | 0 |
| 072 O000000 | 33 |
| 072 P000000 | 0 |
| 072 Q000000 | 52 |
| 072 R000000 | 20 |
| 072 S000000 | 153 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 36 |
| 072 X000000 | 2037 |
| 072 Y000000 | 0 |
| 072 Z000000 | 1190 |
| 072AA000000 | 4733 |
| 072BB000000 | 0 |
| 072CC010000 | 18915 |
| 072CC020000 | 0 |
| 072DD010000 | 1712 |
| 072DD020000 | 0 |
| 072EE000000 | 357 |
| 073 A010000 | 0.0720 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0150 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 346 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 319747 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 13087 |
| 074 J000000 | 1771 |
| 074 K000000 | 0 |
| 074 L000000 | 1309 |
| 074 M000000 | 1 |
| 074 N000000 | 336261 |
| 074 O000000 | 0 |
| 074 P000000 | 465 |
| 074 Q000000 | 0 |
| PAGE 8 | |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 3 |

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

| | | |
|-----------|-------------------|---------------------------|
| 074 | S000000 | 0 |
| 074 | T000000 | 335793 |
| 074 | U010000 | 23773 |
| 074 | U020000 | 0 |
| 074 | V010000 | 14.12 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 1036 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 322345 |
| 076 | 000000 | 11.45 |
| 077 | A000000 | Y |
| 077 | C000000 | Y |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 120 |
| 086 | B020000 | 1413 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | SWISS HELVETIA FUND, INC. |
| 087 | A020000 | 870875101 |
| 087 | A030000 | SWZ |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | GABRIELLE LAPORTA | |
| TITLE | MANAGER | |